Abstract

We present a fully automatic method to generate detailed and accurate artistic shadows from pairs of line drawing sketches and lighting directions. We also contribute a new dataset of one thousand examples of pairs of line drawings and shadows that are tagged with lighting directions. Remarkably, the generated shadows quickly communicate the underlying 3D structure of the sketched scene. Consequently, the shadows generated by our approach can be used directly or as an excellent starting point for artists. We demonstrate that the deep learning network we propose takes a hand-drawn sketch, builds a 3D model in latent space, and renders the resulting shadows. The generated shadows respect the hand-drawn lines and underlying 3D space and contain sophisticated and accurate details, such as self-shadowing effects. Moreover, the generated shadows contain artistic effects, such as rim lighting or halos appearing from back lighting, that would be achievable with traditional 3D rendering methods.

1. Introduction

Shadows are an essential element in both traditional and digital painting. Across artistic media and formats, most paintings are first sketched with lines and shadows before applying color. In both the Impressionism and Neoclassicism era, artists would paint oil paintings after they rapidly drew shadowed sketches of their subjects. They recorded what they saw and expressed their vision in sketches and shadows and used these as direct references for their paintings [1].

In the modern painting era, particularly for digital illustration and cel animation, shadows play an important role in depicting objects’ shapes and the relationships between 2D lines and 3D space, thereby affecting the audience’s recognition of the scene as whole. Illustration is a time-consuming process; illustrators frequently spend several hours drawing an appealing picture, iteratively adjusting the form and structure of the characters many times. In addition to this work, the illustrators also need to iteratively adjust and refine the shadows, either after completing the sketch or while iterating the sketching process (e.g., Inker [34]). Drawing shadows is particularly challenging for 2D sketches which could not be observed in real world, because there is no 3D reference model to reason about; only the artist’s imagination. In principal, the more details the structural lines contain, the more difficult it is to draw the resulting shadows. Hence adjusting the shadows can be time consuming, especially for inexperienced illustrators.

In this paper, we describe a real-time method to generate plausible shadows from an input sketch and specified lighting direction. These shadows can be used directly, or
if higher quality is desired can be used as a starting point for the artists to modify. Notably, our approach does not generate shadowed sketches directly; instead it generates a separate image of the shadow that may be composited with the sketch. This feature is important as the artist can load the sketch and the shadow into separate image layers and edit them independently.

Our work uses the deep learning methodology to learn a non-linear function which “understands” the 3D spatial relationships implied by a 2D sketch and render the binary shadows (Figure 1 top). The raw output from our neural network is binary shadows, which may be modified by artists in a separate layer independent of line drawings. There is no work is binary shadows, which may be modified by artists in a separate layer independent of line drawings. There is no simple composites of the raw network output and the input line art. If soft shadows are desired, artists may use the second side output from our network (Figure 2 S2). Our network also produces consistent shadows from continuously varying lighting directions (Section 4.3), even though we train from a sparse set of lighting directions.

Given a line drawing and a lighting direction, our model automatically generates an image where the line art is enhanced with detailed and accurate hard shadows; no additional user input is required. We focus on Japanese anime style images and the training data is composed of artistic hand-drawn line art in the shape of animation characters, mecha, and mechanical objects. We also demonstrate that our model generalizes to line art of different objects such as buildings, clothes, and animals.

The term “artistic shadow” in our work refers to binary shadows that largely obey physics but also have artistic features such as less shadowing of characters’ faces and rim lighting when characters are back lit.

The main contributions of our work:

- We created a new dataset that contains 1,160 cases of hand-drawn line drawings and shadows tagged with lighting directions.
- We propose a network that “understands” the structure and 3D spatial relationships implied by line drawings and produces highly-detailed and accurate shadows.
- An end-to-end application that can generate binary or soft shadows from arbitrary lighting directions given a 2D line drawing and designated lighting direction.

In Section 3, we will describe the design of our generative and discriminator networks, and our loss functions. In Section 4, we compare our results quantitatively and qualitatively to baseline network architectures pix2pix [13] and U-net [25]. We also compare to the related approaches Sketch2Normal [29] and DeepNormal [20] applied to our shadow generation problem. Our comparisons include a small user study to assess the perceptual accuracy of our approach. Finally, we demonstrate the necessity of each part of our proposed network through an ablation study and metrics analysis.

1This preprint version is not the camera ready version. Project page is at https://cal.cs.umbc.edu/Papers/Zheng-2020-Shade/.

2. Related Work

Non-photorealistic rendering in Computer Graphics. The previous work on stylized shadows [23, 3] of cel animation in computer graphics focused on rendering shadows in cel animation and applying artistic appearances to shadows. These papers highlight that shadows play an important role in human perception of cel animation. In particular, in cel animation shadows provide a sense of depth to the various layers of character, foreground, and background. Todo et al. [32, 33] proposed a method to generate artistic shadows in 3D scenes that mimics the aesthetics of Japanese 2D animation. Ink-and-Ray [31] combined a hand-drawn character with a small set of simple annotations to generate bas-relief sculptures of stylized shadows. Recently, Hudon et al. [11] proposed a semi-automatic method of cel shading that produces binary shadows based on hand-drawn objects without 3D reconstruction.

Image translation and colorization. In recent years, the research on Generative Adversarial Networks (GANs) [6, 21] in image translation [13] has generated impressive synthetic images that were perceived to be the same as the originals. Pix2pix [13] deployed the U-net [25] architecture in their Generator network and demonstrated that for the application of image translation U-net’s performance is improved when skip connections are included. CycleGAN [41] introduced a method to learn the mapping from an input image to a stylized output image in the absence of paired examples. Research on colorizing realistic gray scale images [2, 39, 12, 40] demonstrated the feasibility of colorizing images using GANs and U-net [25] architectures.

Deep learning in line drawings. Researcher that considers line drawings include line art colorization [36, 15, 38, 5, 4], sketch simplification [28, 26], smart inker [27], line extraction [17], line stylization [18] and computing normal maps from sketches [29, 20]. Tag2Pix [15] seeks to use GANs that concatenate Squeeze and Excitation [10] to colorize line art. Sketch simplification [28, 26] cleans up draft sketches, through such operations as removing dual lines and connecting intermittent lines. Smart inker [27] improves on sketch simplification by including additional user input. Users can draw strokes indicating where they would like to add or erase lines, then the neural network will output a simplified sketch in real-time. Line extraction [17] extracts pure lines from manga (comics) and demonstrates that simple downscaling and upscaling residual blocks with skip connections have superior performance. Kalogerakis et al...
et al. [14] proposed a machine learning method to create hatch-shading style illustrations. Li et al. [18] proposed a two-branch deep learning model to transform the line drawings and photo to pencil drawings.

**Relighting.** Deep learning has also been applied to relighting realistic scenes. Xu et al. [35] proposed a method for relighting from an arbitrary directional light given images from five different directional light sources. Sun et al. [30] proposed a method for relighting portraits given a single input, such as a selfie. The training datasets are captured by a multi-camera rig. This work differs from ours in that they focus on relighting realistic images with rich features while we focus on artistic shadowing of hand-drawn sketches.

**Line drawings to normal maps.** Sketch2normal [29] and DeepNormal [20] use deep learning to compute normal maps from line drawings. Their training datasets are rendered from 3D models with realistic rendering. Sketch2Normal trains on line drawings of four-legged animals with some annotations. DeepNormal takes as input line drawings with a mask for the object. They solve a different, arguably harder, problem. However, the computed normal maps can be used to render shadows and we compare this approach to our direct shadow computation in Section 4.

**3. Learning Where to Draw Shadows**

In this section we describe our data preparation, our representation of the lighting directions, the design of our generator and discriminator networks, and our loss functions.

**3.1. Data Preparation and Data Augmentation**

We collect our (sketch, shadow) pairs from website posts by artists. With help from professional artists, each (sketch, shadow) pair is manually tagged with a lighting direction. After pre-processing the sketches with thresholding and morphological anti-aliasing, the line drawings are normalized to obtain a consistent line width of 0.3 px in cairosvg standard [24]. To standardize the hand-drawn sketch to the same line width, we use a small deep learning model similar to smart inker [27] to pre-process input data. Our dataset contains 1,160 cases of non-duplicate hand-drawn line drawings. Each line drawing matches one specific hand-drawn shadow as ground truth and one lighting direction.

In contrast to 3D computer animation, which contains many light sources and realistic light transport, Japanese style 2D animation tends to have a single lighting direction and include some non-physical shadows in a scene.

We observed that artists tend to choose from a relatively small set of specific lighting directions, especially in comics and Japanese animation. For this reason, we define 26 lighting directions formed by the $2 \times 2$ cube in Figure 1. We found that it was intuitive to allow users to choose from eight lighting directions clockwise around the 2D object and one of three depths (in-front, in-plane, and behind) to specify the light source. We also allow the user to choose two special locations: directly in front and directly behind. This results in $8 \times 3 + 2 = 26$ lighting directions. The user specifies the light position with a three-digit string. The first digit corresponds to the lighting direction (1-8), the second to the plane (1-3), and the third is ‘0’ except for the special directions, which are “001” (in-front) and “002” (behind).

While users found this numbering scheme intuitive, we obtained better training results by first converting these strings to 26 integer triples on the cube from $[-1, 1]^3$ ($(0, 0, 0)$ is not valid as that is the location of the object). For example, “610” is mapped to $(-1, -1, -1)$, “230” is mapped to $(1, 1, 1)$, and “210” is mapped to $(1, 1, -1)$.

**3.2. Network Architecture**

Our generator incorporates the following modules: residual blocks [7] [8], FiLM blocks [22], and Squeeze-and-Excitation (SE) blocks [10]. The general architecture of our generator follows the architecture of U-net with skip connections [25, 13]. Our Discriminator uses residual blocks. Details are shown in Figure 2.

**3.2.1 Generative Network**

We propose a novel non-linear model with two parts - shape net, which encodes the underlying 3D structure from 2D sketches, and render net, which renders artistic shadows based on the encoded structure.

Shape net encodes a line drawing of an object into a high dimensional latent space and represents the object’s 3D geometric information. We concatenate 2D coordinate channels [19] to the line drawings to assist shape net in encoding 3D spatial information.

Render net performs reasoning about 3D shadows. Starting from the bottleneck, we input the embedded lighting direction using the normalization method from FiLM residual blocks [22]. The model then starts to learn the relationship between the lighting direction and the various high dimensional features. We repeatedly add the lighting direction into each stage of the render net to enhance the reasoning of decoding. In the bottom of each stage in render net, a Self-attention [37] layer complements the connection of holistic features.

The shadowing problem involves holistic visual reasoning because shadows can be cast by distant geometry. For this reason we deploy Self-attention layers [37] and FiLM residual blocks [22] to enhance the visual reasoning; networks that consist of only residual blocks have limited receptive fields and are ill-suited to holistic visual reasoning. The SE [10] blocks filter out unnecessary features imported
from the skipped encoder output.

We also extract two supervision side outputs, \( s_1 \) and \( s_2 \), to facilitate backpropagation. Early stages of our render net generate continuous, soft shadow images. In the final stage, the network transforms these images to binary shadows. The quality of the soft shadows in the side outputs, \( s_1 \) and \( s_2 \), is shown in Figure 2. We note again that our output does not require any post processing to generate binary shadows; the images in this paper result directly from compositing the output our generator with the input sketch.

### 3.2.2 Discriminator Network

The basic modules of our discriminator include downsampling residual blocks and residual blocks. Since many local features of different shadows are similar to one another, we deploy Self-attention layers to make our discriminator sensitive to the distant features. In Figure 2, the last of the discriminator consists of global average pooling, dropout with 0.3 probabilities, and a fully connected layer with 256 filters. Because generating shadows is more difficult than discriminating between fake and real shadows, a simple discriminator is sufficient and simplifies training.

### 3.3. Loss Function

The adversarial loss of our Generative Adversarial Network can be expressed as

\[
\min_{G} \max_{D} V(D, G) = \mathbb{E}_{y \sim p(y)} [\log D(y|c)] + \mathbb{E}_{x \sim \text{data}(x)} [\log(1 - D(G(x|c))] \tag{1}
\]

We define that \( x \) is the sketch, \( c \) is the lighting direction, \( o \) is the output of \( G \), \( y \) is the “real” input of \( D \), and \( u \) is the “fake” input of \( D \).

\[
o = G(x|c), \tag{2}
\]

\[
y = \text{Compose}(GT_{\text{shadow}}, x), \tag{3}
\]

\[
u = \text{Compose}(o, x). \tag{4}
\]

The generator aims to minimize the loss value, and the discriminator aims to maximize the loss value. For the loss value of our generator network, we add \( l_2 \) losses of the two deep supervised outputs, which are the side outputs of the first and third stage in the decoder, to the loss of the generator’s final output.

The three losses of the generator network can be expressed as

\[
L(\text{output}) = \xi \cdot TV(o, GT) + \text{MSE}(o, GT), \tag{5}
\]

where \( L(\text{output}) \) is the loss between final output \( o \) and the ground truth \( (GT) \). \( L(\text{output}) \) consists of a total variation (TV) regularizer and a mean square error. The TV regularizer, weighted by \( \xi \), encourages smooth details around the boundaries of shadows. We set \( \xi \) to \( 2 \times 10^{-6} \), a 5 times smaller value than the total number of pixels in the input sketch. We will show how the value of \( \xi \) affects the final output in the ablation study. The deep supervised outputs are upsampled and their losses are computed as by mean squared error from ground truth \((GT)\),

\[
L(s_i) = \text{MSE}(s_i, GT), \quad i = 1, 2. \tag{6}
\]

The discriminator’s loss is a binary cross entropy,

\[
L(D) = -\frac{1}{n} \sum_{i=1}^{n} u \cdot \log(p(u)) + (1 - u) \cdot \log(1 - p(u)) \tag{7}
\]

where \( u \) is the generated shadows composited with the input sketch, \( n \) is the batch size, and \( p \) is the predicted probabilities.
The total loss for our GANs is the sum of $L(output)$, $L(s_1)$, $L(s_2)$, and the “true” part of $L(D)$,

$$L(GANs) = \lambda_1 L(output) + \lambda_2 L(s_1) + \lambda_3 L(s_2) - \lambda_4 \frac{1}{n} \sum_{i=1}^{n} (1 - u) \cdot \log(1 - p(u)).$$

In our experiments, the four losses are weighted by $\lambda_1 = 0.5$, $\lambda_2 = 0.2$, $\lambda_3 = 0.2$, and $\lambda_4 = 0.4$.

4. Experiments and Evaluation

In this section, we evaluate the performance of our shading model. In particular, we discuss implementation details, provide comparisons with the baseline pix2pix [13] and U-net [25] and the previous work DeepNormal [20] and Sketch2Normal [29], describe a small user study, and detail our ablation study.

4.1. Implementation Details

All the lines of sketch images in our dataset are normalized and thinned to produce a standard data representation. If the user input sketch is not normalized and thinned, we apply a pre-trained line normalization model modified from [27] to preprocess the user input.

In the training process, the line drawings are first inverted from black-on-white to white-on-black and input to the network. The final output and the side outputs $s_1$ and $s_2$ from the generator are similarly white shadows on black backgrounds. Inverting the images causes the network to converge faster. The generated shadows are composited with the line drawings as the “fake” image input to the discriminator. Similarly we composite the sketch and pure shadow in our dataset as the “real” image input to discriminator.

We use all of our data for training. We do not have real-time testing. We trained for 80,000 iterations with Adam optimizer [16]. The optimizer parameters are set to learning rate = 0.0002, $\beta_1 = 0$, and $\beta_2 = 0.9$. The network is trained using one 12G Titan Xp with a batch size of 8 and $320 \times 320$ input image size.

We shift, zoom in/out, and rotate to augment our dataset. When we rotate our line drawing input by each of $\{0, 45, 90, 135, 180, 225, 270, 315\}$ degrees, we also rotate the ground truth shadow images and modify the lighting direction ($s$), by adding 1 to the first digit for every 45 degrees of rotation. Shifting and zooming does not affect the lighting direction.

4.2. Comparison with Prior Work

In this subsection, we qualitatively compare our approach to DeepNormal [20] and Sketch2Normal [29]. Also, we compare our network to two baselines, Pix2pix [13] and U-net [25]. The evaluation set is not included in training. The line drawings (without shadows) used for evaluation...
are collected from other artists and the papers for comparisons.

We generated the output from DeepNormal and Sketch2Normal using their source codes and trained models, unmodified. We use the scripts provided by DeepNormal to render shadows from normal maps. All normal maps are rendered under the same settings in this paper. To generate binary shadows, we threshold the continuous shadings at 0.5. We note that DeepNormal additionally requires a mask to eliminate space outside the object; Sketch2Normal and our work do not require this mask. We provide a hand-drawn mask as input to DeepNormal. Our method and DeepNormal are predicted from 320 × 320 inputs and Sketch2Normal is predicted from 256 × 256 inputs.

As shown in Figure 3, 4, 6, 5, 7, our work performs favorably. For example, on the two-people and multiple-people line drawings (Figure 3 second row), our work is able to shadow each character, however, DeepNormal and Sketch2Normal treat multiple people as one object. Notably, our work is superior in generating highly detailed shadows, such as in girl’s hair and skirt. In terms of the complexity of sketch, though our training datasets have a moderate level of detail, our network performs well on complex sketches as shown in Figure 3. We also perform well beyond the object’s boundary without requiring a mask.

Moreover, our work produces more precise details when the light source changes depth. As we can see in Figure 4, the shadows from DeepNormal cover almost the entire image, so that it seems as though the light is behind the object. However, in these images, the light source is in the same plane as the object, resulting in side lighting. In Figure 6, we explain why DeepNormal underperforms when the light is in the object’s plane by comparing with a 3D test model. In particular, using our technique the shadows on the bunny’s head and leg are closer to the ground truth and demonstrate self shadowing. As highlighted in Figure 4, DeepNormal’s normal maps have low variance due to multiple average of 256 × 256 tiles (refer to section 3.4 of DeepNormal). This low variance results in front lighting appearing to be side lighting and side lighting appearing to be back lighting. Some images generated by Sketch2Normal have some artifacts because the predicted normal maps have some blank areas. Because it is trained on simple sketches, Sketch2Normal struggles with complex sketches. Finally, we note that our approach produces artistic rim highlights from back lighting. Please refer to the supplementary material for the normal maps in Figure 3 and more comparison figures.

Our architecture also performs favorable when qualitatively compared to Pix2pix and U-net trained on our dataset (Figure 7). Generally, U-net generates inaccurate soft shad-
ows that are far from our goal of binary shadows. Pix2pix generates shadows far outside the object’s boundary and ignores the geometric information in the sketch. In our early research, we used a residual block autoencoder with skip connections, which generated soft shadows. To achieve our goal of binary shadows, we added a discriminator and adopted a deeper render net. If the artist desires soft shadows, the side output $s_2$ can be used.

4.3. Artistic Control

Though our network is trained with a discrete set of 26 lighting directions, the lighting direction is inputted to the network using floating point values in $[-1, 1]^3$, allowing for the generation of shadows from arbitrary light locations. Intuitively, our network learns a continuous representation of lighting direction from the discrete set of examples. Furthermore, when a series of light locations are chosen the shadows move smoothly over the scene as in time-lapse video footage. Please refer to the supplementary material for gifs demonstrating moving shadows.

Although the final output of our network is binary shadows, if an artist desires soft shadows, the side output, $s_2$, can be used, as shown in Figure 2.

Our work is complementary to prior work on automatic colorization of sketches [36, 15, 38, 5, 4]. Figure 8 demonstrates that our shadows can be combined with these colorization approaches. While most prior work on colorization combines shading and shadowing effects, it would be interesting to separate these effects into independent image layers for further artistic editing.

4.4. User Study

To evaluate our approach we conducted a small user study. We generated shadows using six different techniques and asked users to evaluate the results. Our user study had two stages: a “Turing” test that asked the simple question “Do you think this shadow was drawn by a human? Yes or no?” and another stage where the user is shown an image and asked to rate the quality of the shadow with the prompt “Under this lighting direction, evaluate the appearance of this shadow” on a Likert scale from 1 to 9 (9 being best). In each stage the user was shown 36 images generated from six input sketches and each of six shadow generation methods: ground truth shadows created by artists, Ours, DeepNormal [20], Sketch2Normal [29], Pix2pix [13], and U-net [25]. For the synthetic shadows, the lighting directions were chosen randomly (Ours exclude the directions in ground truth), with the restriction that we did not use back lighting. We only used front lighting for DeepNormal for the reasons described in Section 4.2. For the quality rating, lighting directions were described with text, e.g. “upper right, front lighting.” For the Turing test, no lighting directions were given. Users were shown one image at a time, but could use the “back” and “forward” buttons.

Users received a brief training that displayed 15 ground truth shadowed sketches from our dataset and highlighted the differences between front lighting and side lighting. We also asked the users to rate their drawing experience as “professional”, “average”, “beginner” or “0 experience”. We distributed the survey online and received 60 results. Forty participants had drawing experience: 13 were professional artists, 11 were average level, and 16 were beginners. The results are shown in Table 1. Our approach performs favorably, almost matching the ground truth shadows created by artists. We ran a one-way ANOVA to analyze the Likert scores. The results confirmed that our results were quantitatively similar with ground truth ($p = 0.24$) and better than the other methods ($p < 0.05$ for all of the comparisons).

4.5. Ablation Study

We performed seven ablation studies as shown in Figures 10 and 9. For quantitative comparison, we calculated the Frchet Inception Distance (FID) [9] per 4000 iterations of our work and the ablation studies using the entire dataset. Figure 9 shows that our work has the lowest and most stable FID. This demonstrate that each feature we propose is es-

<table>
<thead>
<tr>
<th>Methods</th>
<th>GT</th>
<th>Ours</th>
<th>[20]</th>
<th>[29]</th>
<th>[13]</th>
<th>[25]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Turing</td>
<td>68%</td>
<td>69%</td>
<td>51%</td>
<td>11%</td>
<td>23%</td>
<td>19%</td>
</tr>
<tr>
<td>Scores</td>
<td>6.37</td>
<td>6.70</td>
<td>5.78</td>
<td>3.35</td>
<td>3.77</td>
<td>3.06</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Methods</th>
<th>GT</th>
<th>Ours</th>
<th>[20]</th>
<th>[29]</th>
<th>[13]</th>
<th>[25]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Turing</td>
<td>70%</td>
<td>65%</td>
<td>45%</td>
<td>10%</td>
<td>25%</td>
<td>17%</td>
</tr>
<tr>
<td>Scores</td>
<td>6.50</td>
<td>6.66</td>
<td>5.69</td>
<td>3.44</td>
<td>3.91</td>
<td>3.03</td>
</tr>
</tbody>
</table>

Figure 9: FID score of ours and ablation studies. Our model’s line is on the most bottom.

Figure 10 qualitatively demonstrates that without the elements we propose, the networks performance is degraded: boundaries become aliased and artifacts appear in shadows. Among all ablation studies, “w/o Self-Attention” has the least influence, as the shown Figure 10 (b) and the FID in Figure 9. Setting the coefficient of the total variation regularizer 5 × larger or removing the regularizer has the most influence on the overall performance and ruins the smoothness of shadow. The corresponding FID also highlight the importance of the total variant regularizer.

In Figure 10, all of the images use the same lighting direction “810”. Generally, when the SelfAttention layers are removed, the network performs poorly with details and there are tiny artifacts within the shadow block; without the Coordinate Channel or FiLM block, the output will have unrealistic shadow boundaries and shadows outside the object’s boundary; without SE blocks, there will be shadow “acne” and the overall appearance looks messy; without the two deep supervised outputs (s1, s2), (g) increasing the TV loss weights to e−5, (h) removing the total variant (TV) regularizer.

for the TV regularizer or is missing the TV regularizer, the network will converge too fast and trap in a local minimum.

5. Future Work

The network performance is not invariant on different sizes of input images. Mostly the 320 × 320 inputs have the best performance, because our network is trained on 320 × 320 size inputs. 480 × 480 input images also have good performance. Though we almost match the ground truth in user study, our generated shadows are not so much detailed as ground truth, especially on hard surface object. Also, if inputting a local part of the line drawing, the network is not able to reason the correct shadows. As future work, we will develop a network that can output various image sizes to meet the high resolution requirements of painting.

6. Conclusion

Our conditional Generative Adversarial Network learns a non-photorealistic renderer that can automatically generate shadows from hand-drawn sketches. We are the first to attempt to directly generate shadows from sketches through deep learning. Our results compare favorably to prior art that renders normal maps from sketches on both simple and sophisticated images. We also demonstrate that our network architecture can “understand” the 3D spatial relationships implied by 2D line drawings well enough to generate detailed and accurate shadows.

References


