

# CS-184: Computer Graphics

---

## Lecture #4: 2D Transformations

Prof. James O'Brien  
University of California, Berkeley

V2008-F-04-1.0

# Today

---

- 2D Transformations
  - “Primitive” Operations
    - Scale, Rotate, Shear, Flip, Translate
  - Homogenous Coordinates
  - SVD
  - Start thinking about rotations...

# Introduction

---

- Transformation:

  - An operation that changes one configuration into another

- For images, shapes, *etc.*

  - A geometric transformation maps positions that define the object to other positions

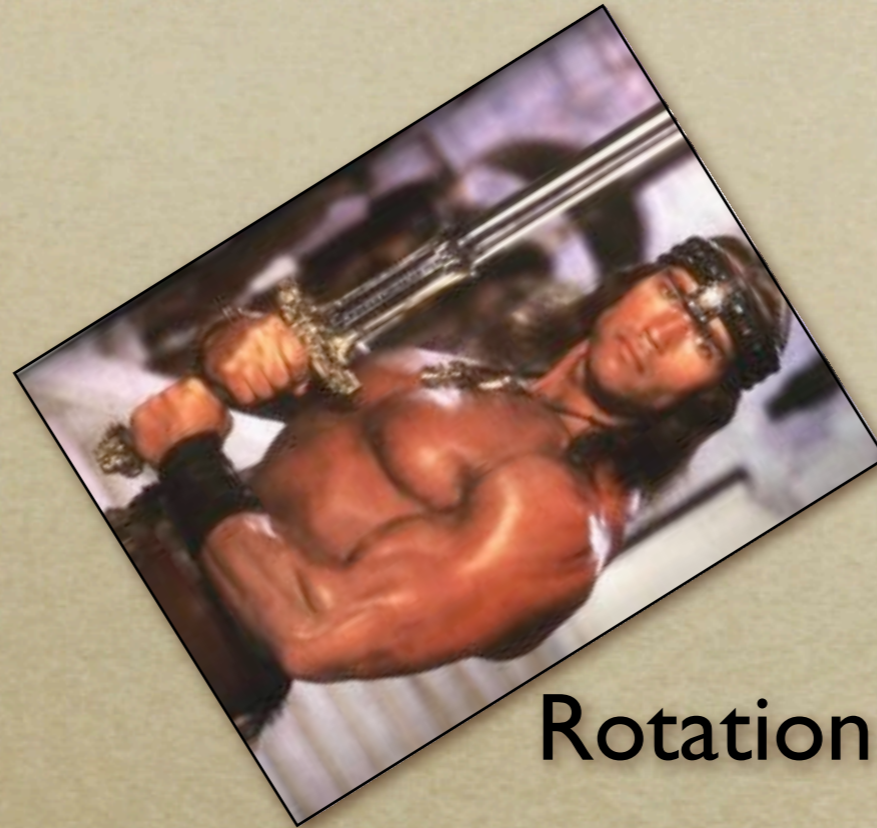
  - Linear transformation means the transformation is defined by a linear function... which is what matrices are good for.

# Some Examples

---



Original



Rotation



Uniform Scale



Nonuniform Scale

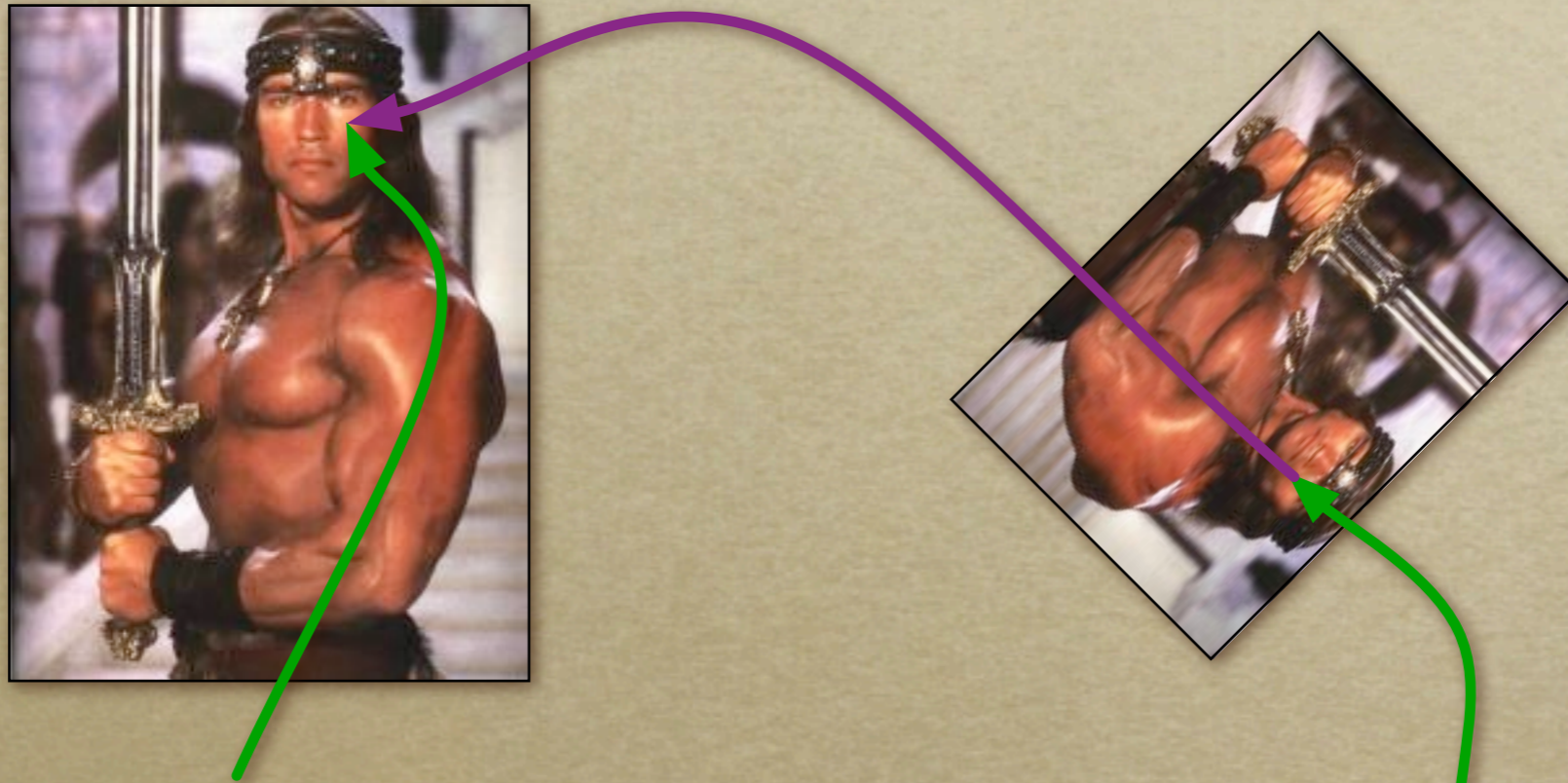


Shear

# Mapping Function

---

$f(x) = x$  in old image



$$c(x) = [195, 120, 58]$$

$$c'(x) = c(f(x))$$

# Linear -vs- Nonlinear

---



Nonlinear (swirl)



Linear (shear)

# Geometric -vs- Color Space

---



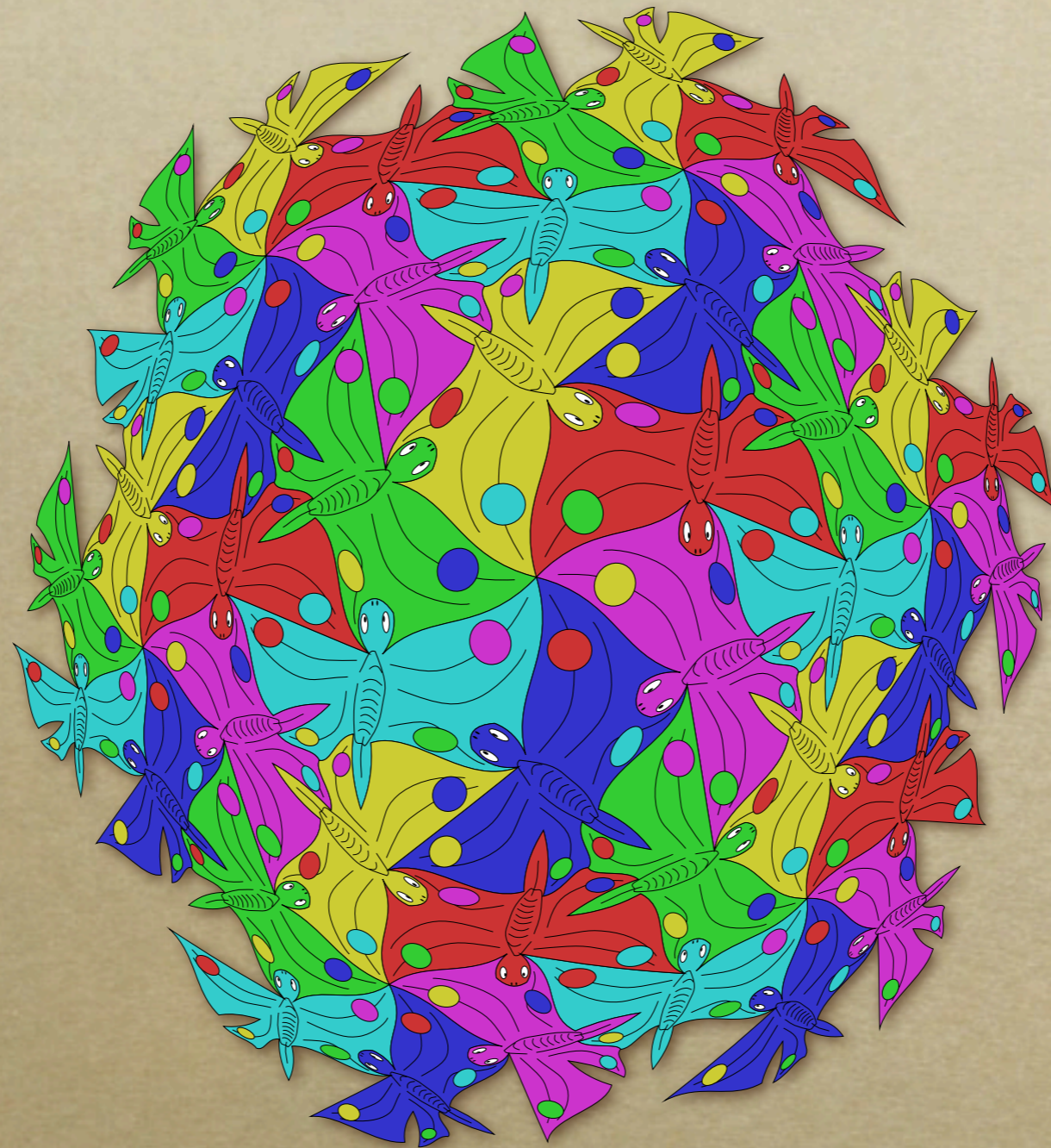
Color Space Transform  
(edge finding)



Linear Geometric  
(flip)

# Instancing

---



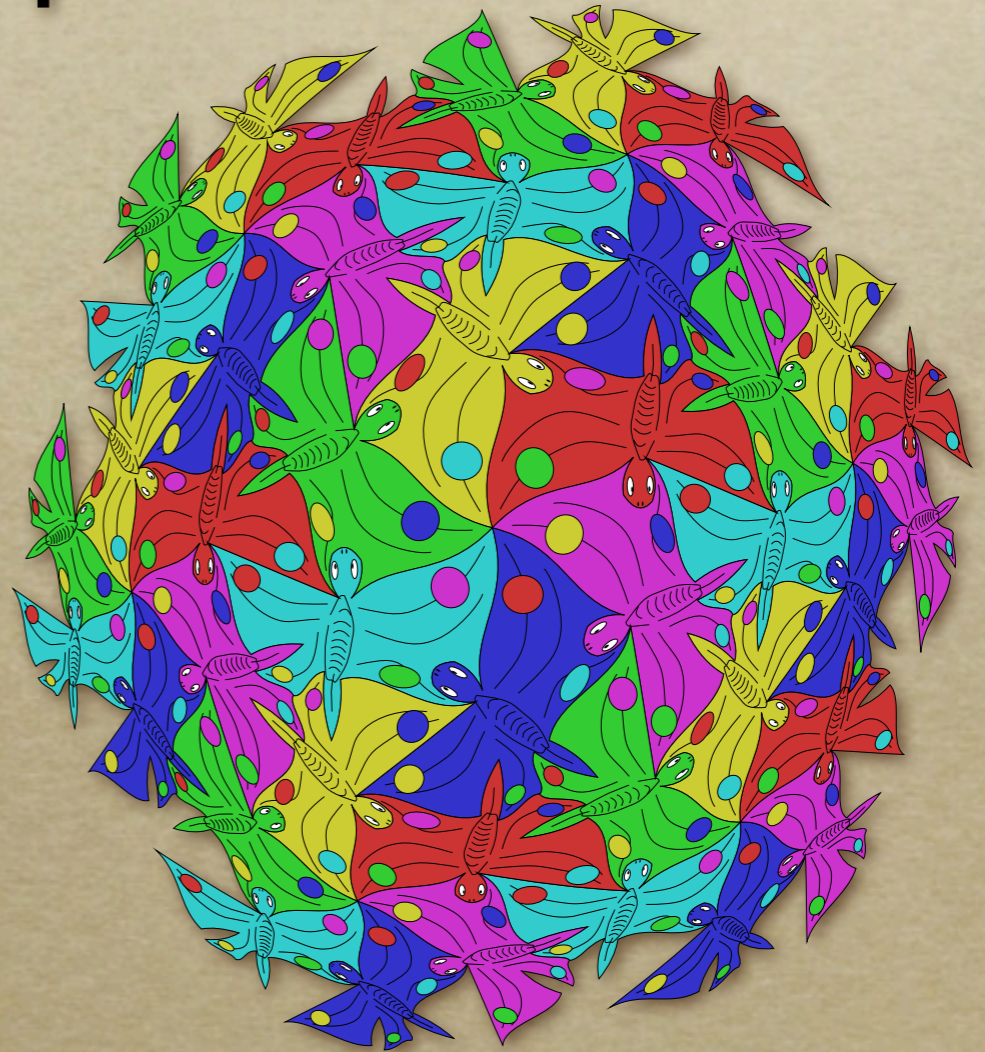
RHW



# Instancing

---

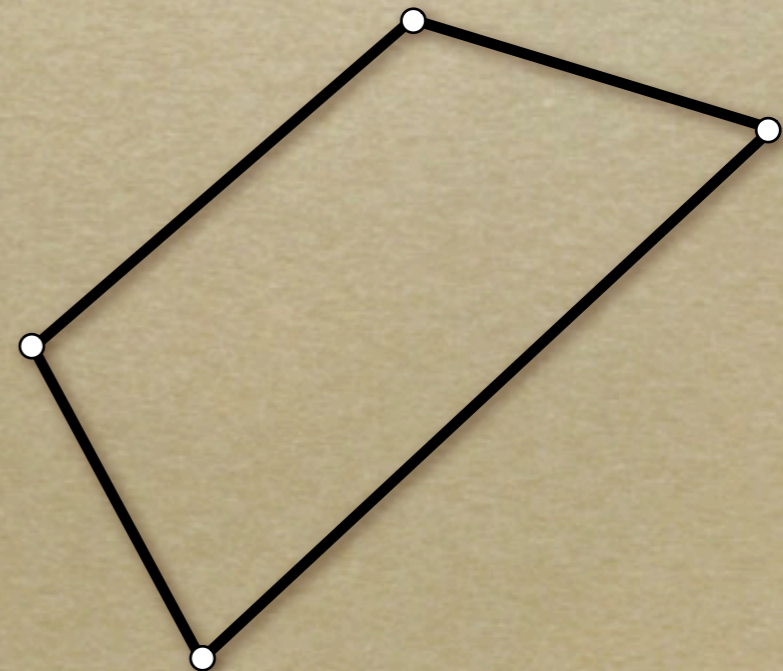
- Reuse geometric descriptions
- Saves memory



# Linear is Linear

---

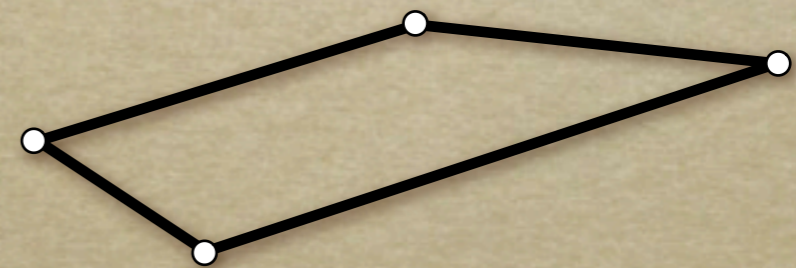
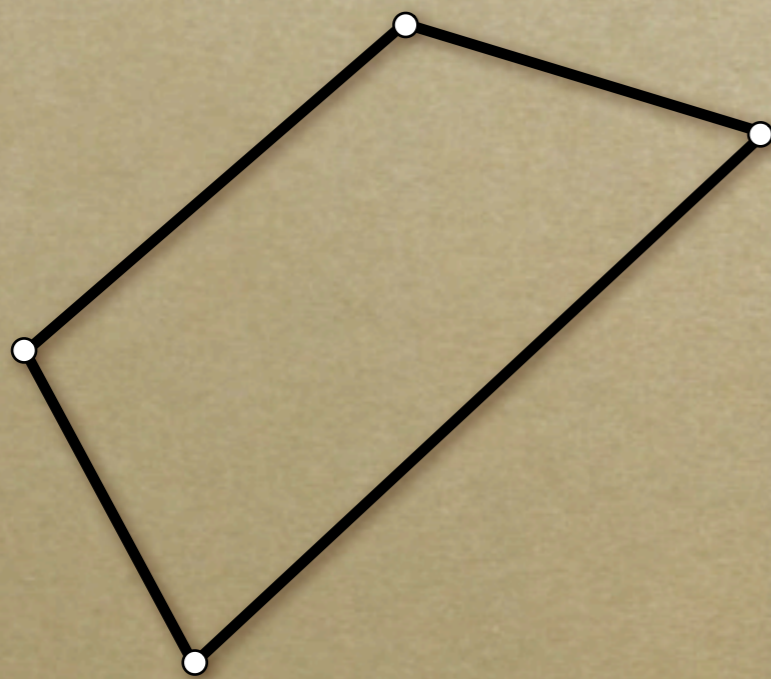
- Polygons defined by points
- Edges defined by interpolation between two points
- Interior defined by interpolation between all points
- *Linear* interpolation



# Linear is Linear

---

- Composing two linear function is still linear
- Transform polygon by transforming vertices



# Linear is Linear

---

- Composing two linear function is still linear
- Transform polygon by transforming vertices

$$f(x) = a + bx \qquad g(f) = c + df$$

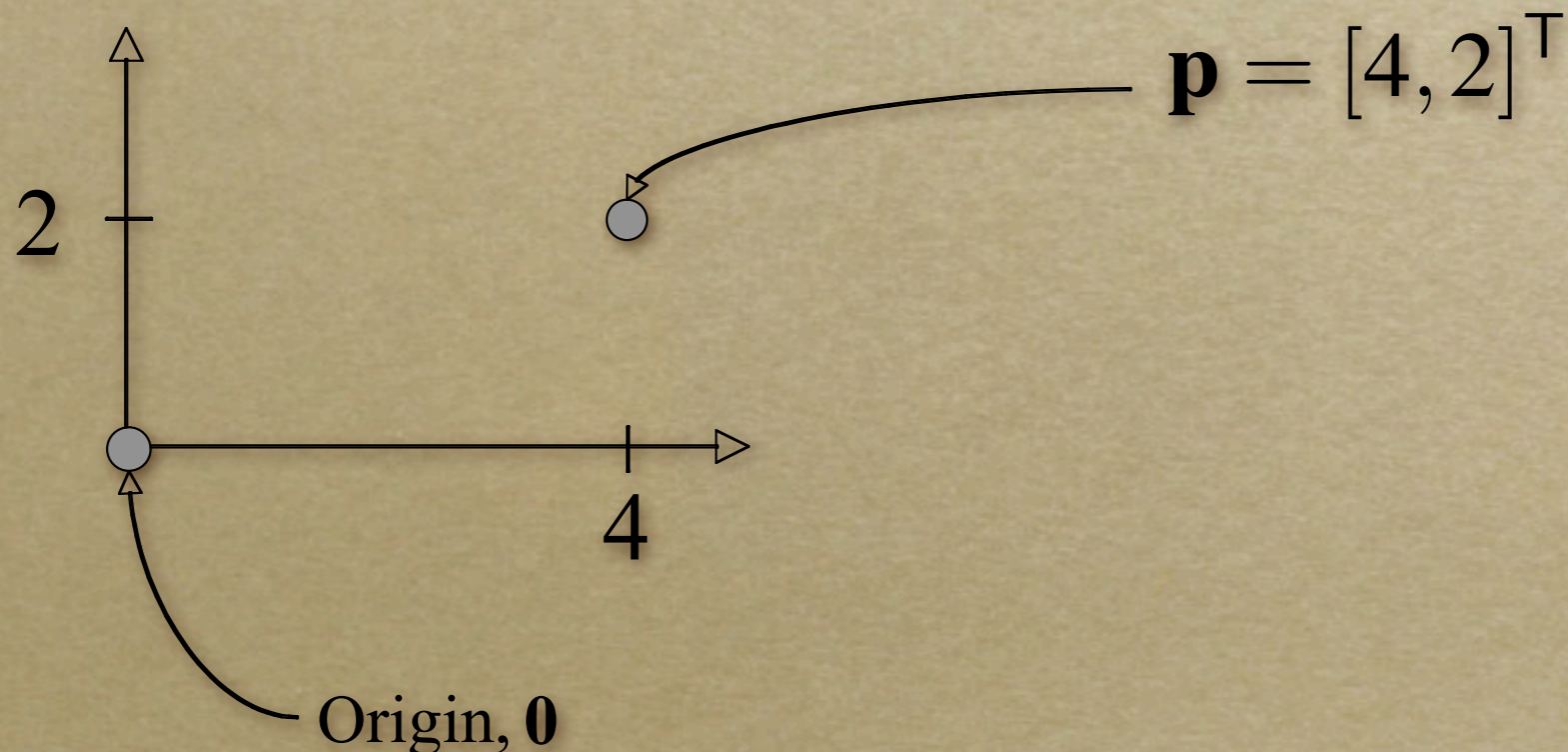
$$g(x) = c + df(x) = c + ad + bdx$$

$$g(x) = a' + b'x$$

# Points in Space

---

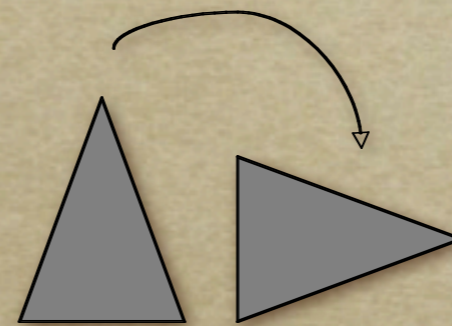
- Represent point in space by vector in  $R^n$ 
  - Relative to some origin!
  - Relative to some coordinate axes!
- Later we'll add something extra...



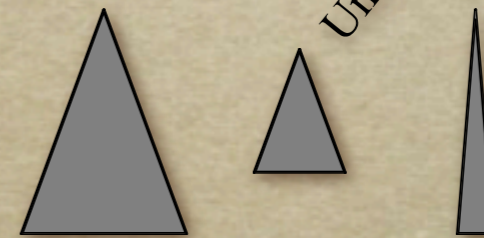
# Basic Transformations

---

- Basic transforms are: rotate, scale, and translate
- Shear is a composite transformation!



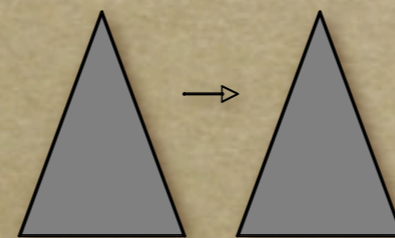
Rotate



Scale

*Uniform/isotropic*

*Non-uniform/anisotropic*



Translate



Shear -- not really "basic"

# Linear Functions in 2D

---

$$x' = f(x, y) = c_1 + c_2x + c_3y$$

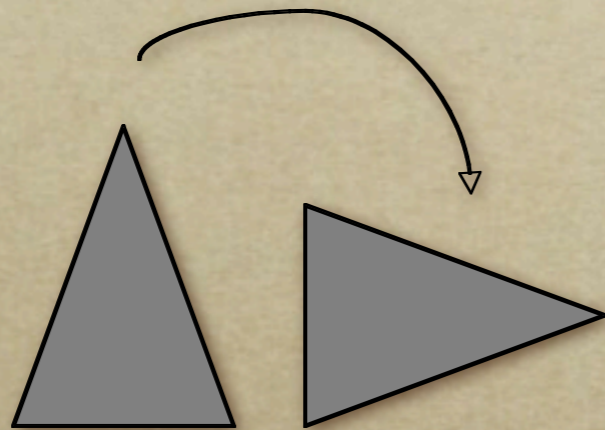
$$y' = f(x, y) = d_1 + d_2x + d_3y$$

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} t_x \\ t_y \end{bmatrix} + \begin{bmatrix} M_{xx} & M_{xy} \\ M_{yx} & M_{yy} \end{bmatrix} \cdot \begin{bmatrix} x \\ y \end{bmatrix}$$

$$\mathbf{x}' = \mathbf{t} + \mathbf{M} \cdot \mathbf{x}$$

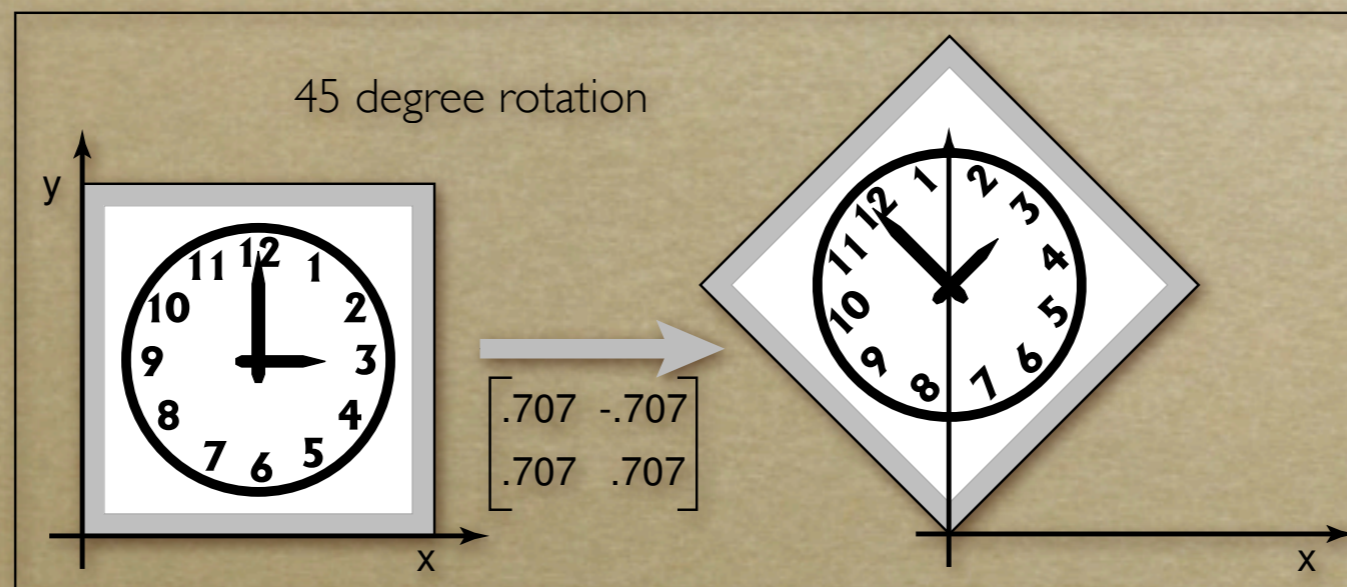
# Rotations

---



$$\mathbf{p}' = \begin{bmatrix} \text{Cos}(\theta) & -\text{Sin}(\theta) \\ \text{Sin}(\theta) & \text{Cos}(\theta) \end{bmatrix} \mathbf{p}$$

Rotate





# Rotations

---

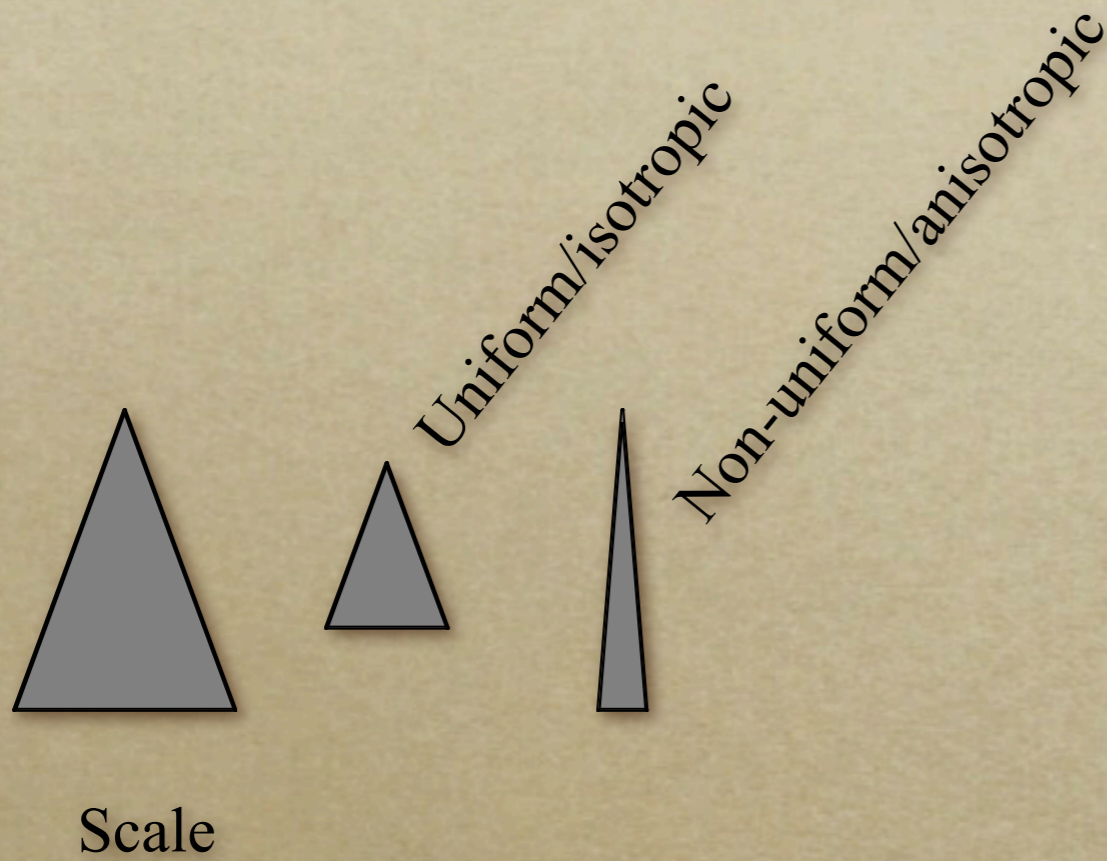
- Rotations are positive counter-clockwise
- Consistent w/ right-hand rule
- Don't be different...
- Note:
  - rotate by zero degrees give identity
  - rotations are modulo 360 (or  $2\pi$ )

# Rotations

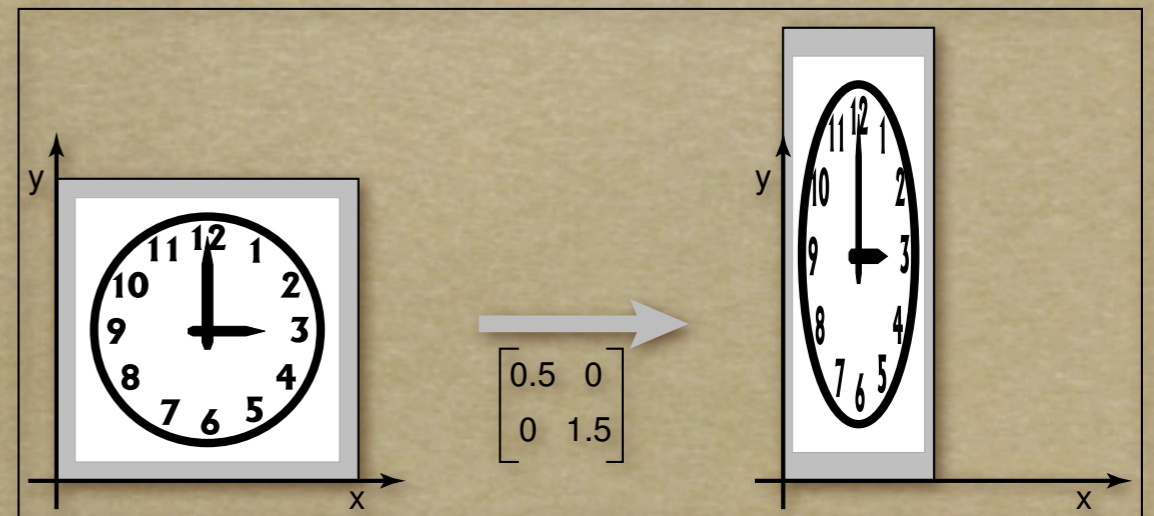
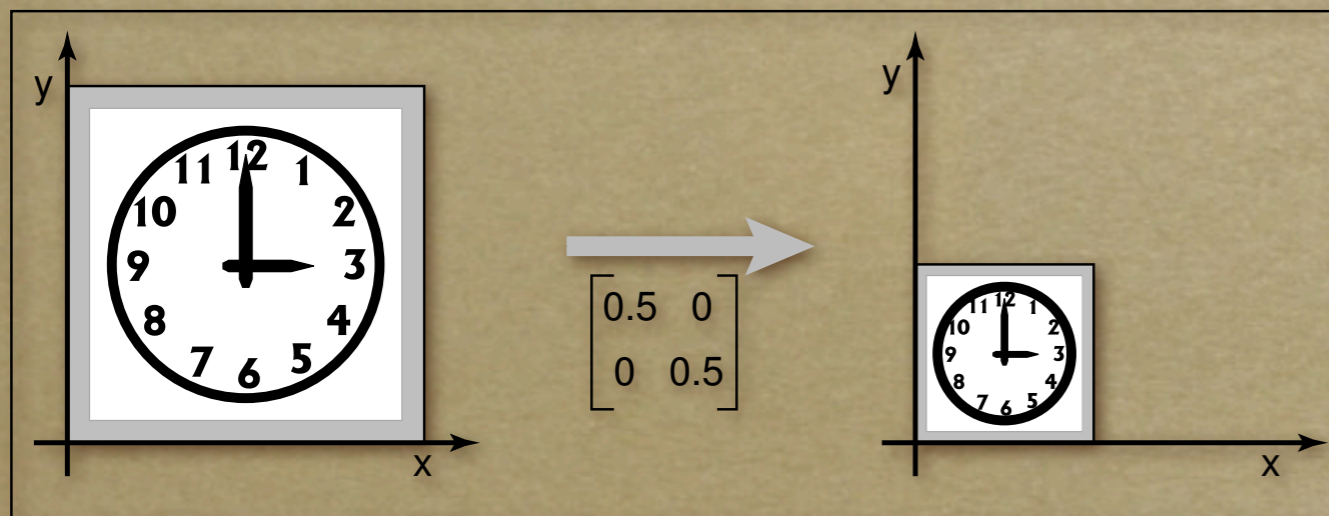
---

- Preserve lengths and distance to origin
- Rotation matrices are orthonormal
- $\text{Det}(\mathbf{R}) = 1 \neq -1$
- In 2D rotations commute...
  - But in 3D they won't!

# Scales



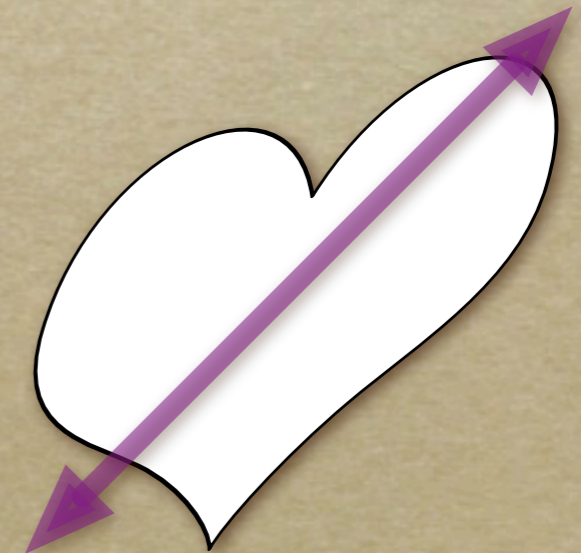
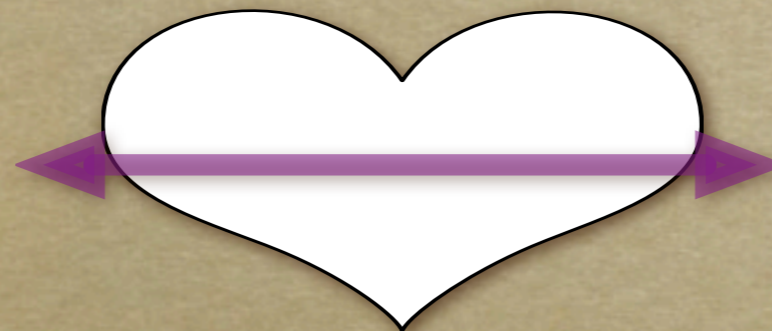
$$\mathbf{p}' = \begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} \mathbf{p}$$



# Scales

---

- Diagonal matrices
  - Diagonal parts are scale in  $X$  and scale in  $Y$  directions
  - Negative values flip
  - Two negatives make a positive (180 deg. rotation)
  - Really, axis-aligned scales



Not axis-aligned...

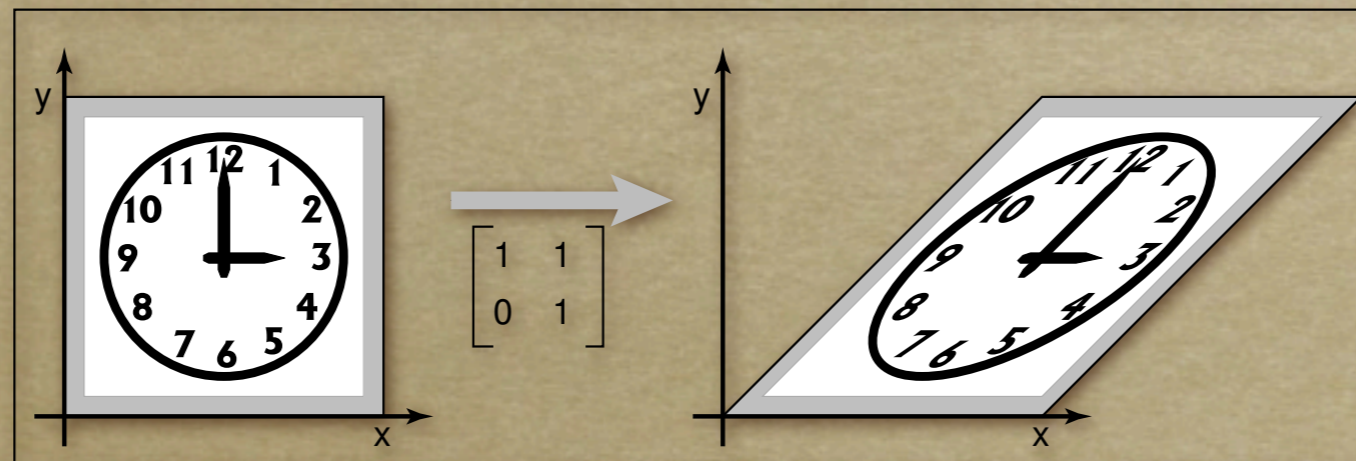
# Shears

---



Shear

$$\mathbf{p}' = \begin{bmatrix} 1 & H_{yx} \\ H_{xy} & 1 \end{bmatrix} \mathbf{p}$$



# Shears

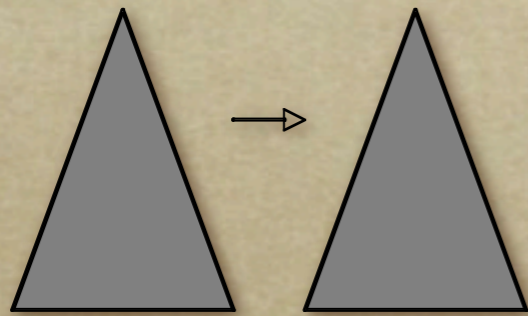
---

- Shears are not really primitive transforms
- Related to non-axis-aligned scales
- More shortly.....

# Translation

---

- This is the not-so-useful way:



Translate

$$\mathbf{p}' = \mathbf{p} + \begin{bmatrix} t_x \\ t_y \end{bmatrix}$$

Note that its not like the others.

# Arbitrary Matrices

---

- For everything but translations we have:

$$\mathbf{x}' = \mathbf{A} \cdot \mathbf{x}$$

- Soon, translations will be assimilated as well
- What does an arbitrary matrix mean?



# Singular Value Decomposition

---

- For any matrix,  $A$ , we can write SVD:

$$A = QSR^T$$

where  $Q$  and  $R$  are orthonormal and  $S$  is diagonal

- Can also write Polar Decomposition

$$A = QRSR^T$$

where  $Q$  is still orthonormal

not the same  $Q$



# Decomposing Matrices

---

- We can force  $\mathbf{Q}$  and  $\mathbf{R}$  to have  $\text{Det}=1$  so they are rotations
- Any matrix is now:
  - Rotation:Rotation:Scale:Rotation
  - See, shear is just a mix of rotations and scales

# Composition

---

- Matrix multiplication composites matrices

$$\mathbf{p}' = \mathbf{B}\mathbf{A}\mathbf{p}$$

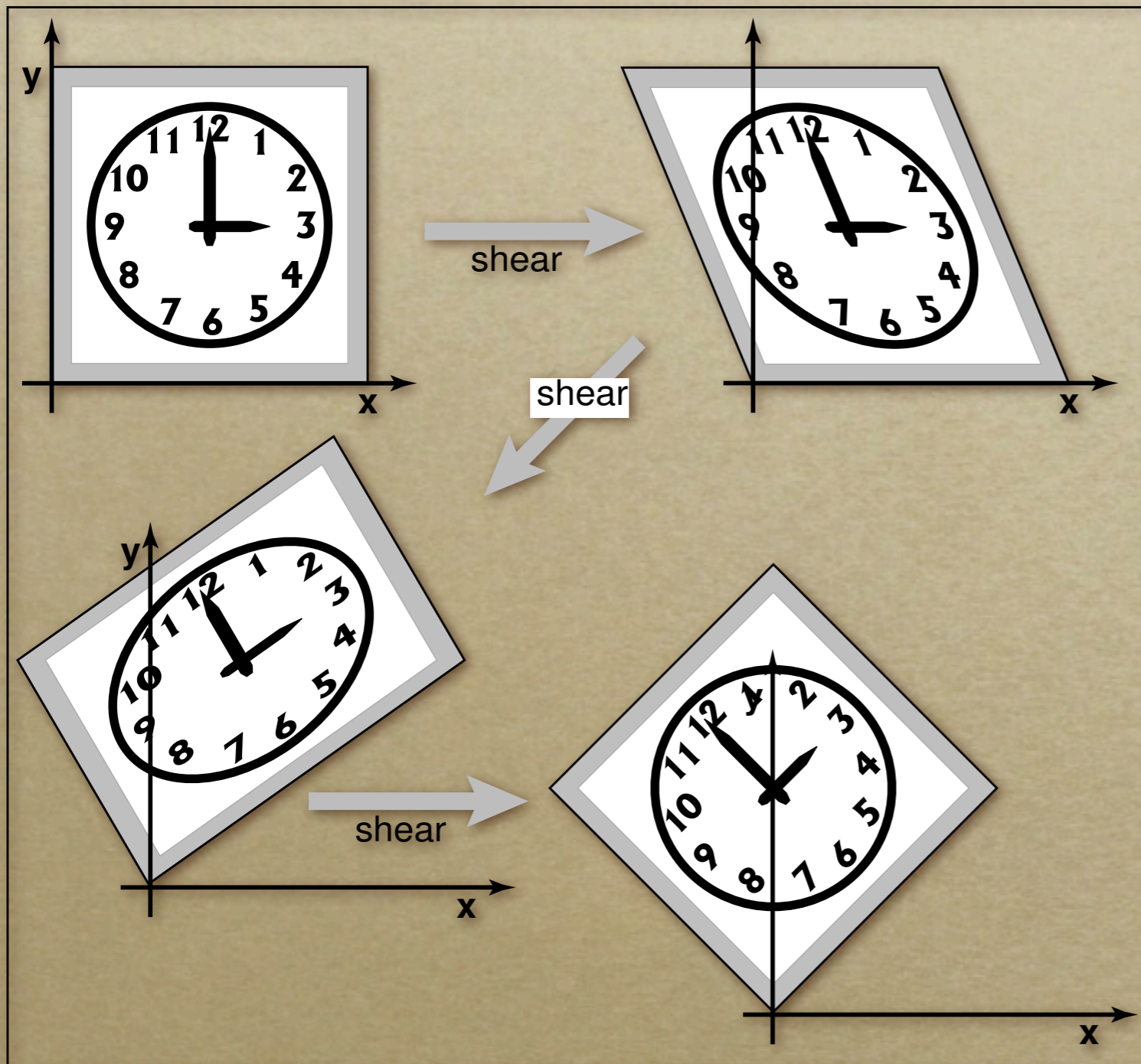
“Apply  $\mathbf{A}$  to  $\mathbf{p}$  and then apply  $\mathbf{B}$  to that result.”

$$\mathbf{p}' = \mathbf{B}(\mathbf{A}\mathbf{p}) = (\mathbf{B}\mathbf{A})\mathbf{p} = \mathbf{C}\mathbf{p}$$

- Several translations composted to one
- Translations still left out...

$$\mathbf{p}' = \mathbf{B}(\mathbf{A}\mathbf{p} + \mathbf{t}) = \mathbf{A}\mathbf{p} + \mathbf{B}\mathbf{t} = \mathbf{C}\mathbf{p} + \mathbf{u}$$

# Composition



Transformations built up from others

SVD builds from scale and rotations

Also build other ways

*i.e.* 45 deg rotation built from shears

# Homogeneous Coordinates

---

- Move to one higher dimensional space
  - Append a 1 at the end of the vectors

$$\mathbf{p} = \begin{bmatrix} p_x \\ p_y \end{bmatrix} \quad \tilde{\mathbf{p}} = \begin{bmatrix} p_x \\ p_y \\ 1 \end{bmatrix}$$

- For *directions* the extra coordinate is a zero

# Homogeneous Translation

---

$$\tilde{\mathbf{p}}' = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ 1 \end{bmatrix}$$

$$\tilde{\mathbf{p}}' = \tilde{\mathbf{A}}\tilde{\mathbf{p}}$$

The tildes are for clarity to distinguish homogenized from non-homogenized vectors.

# Homogeneous Others

---

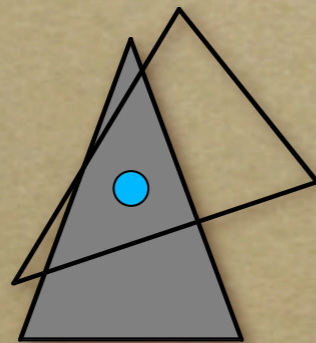
$$\tilde{\mathbf{A}} = \begin{bmatrix} & \mathbf{A} & & 0 \\ & & & 0 \\ 0 & 0 & & 1 \end{bmatrix}$$

Now everything looks the same...  
Hence the term “homogenized!”

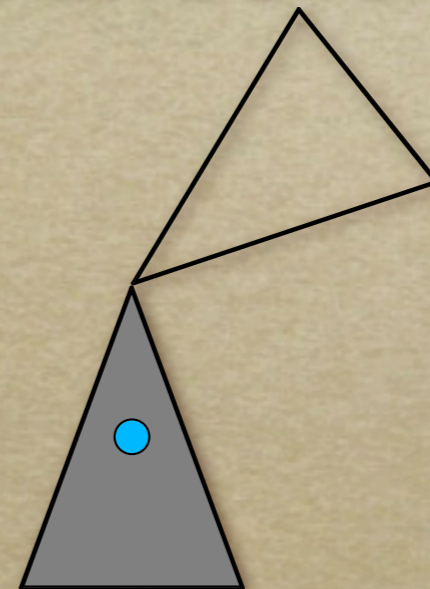
# Compositing Matrices

---

- Rotations and scales always about the origin
- How to rotate/scale about another point?



-VS-

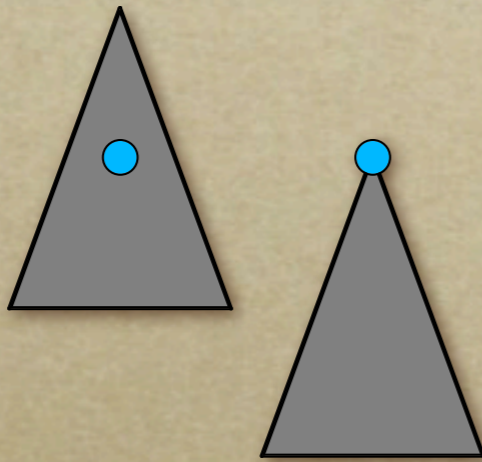




# Rotate About Arb. Point

---

- Step 1: Translate point to origin

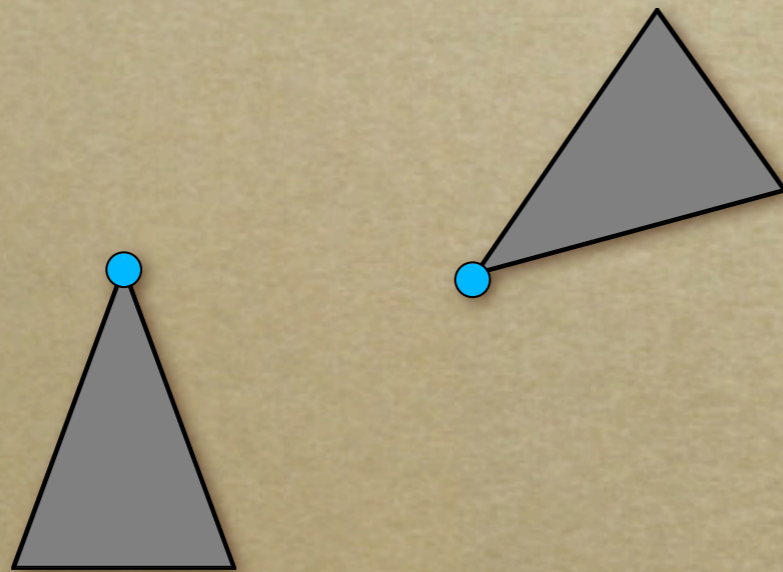


Translate  $(-C)$

# Rotate About Arb. Point

---

- Step 1: Translate point to origin
- Step 2: Rotate as desired



Translate ( $-\mathbf{C}$ )

Rotate ( $\theta$ )

# Rotate About Arb. Point

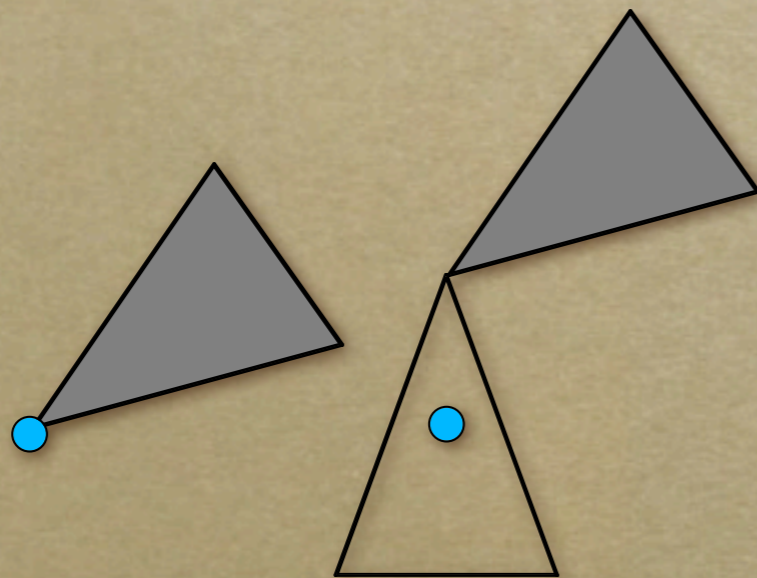
---

- Step 1: Translate point to origin
- Step 2: Rotate as desired
- Step 3: Put back where it was

Translate (-**C**)

Rotate ( $\theta$ )

Translate (**C**)



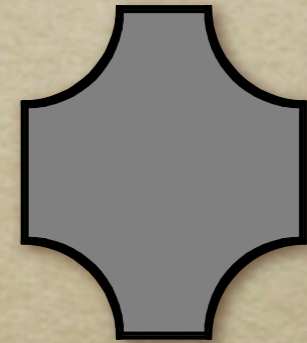
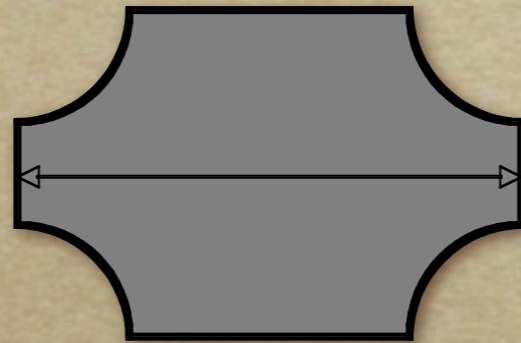
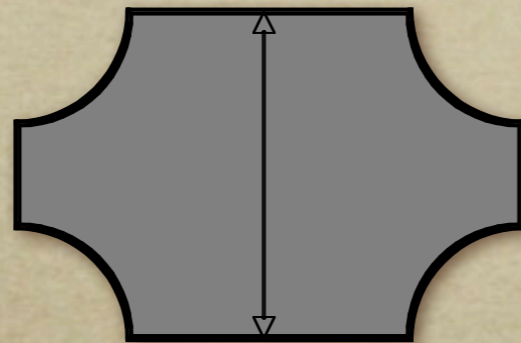
$$\tilde{\mathbf{p}}' = \mathbf{-T} \mathbf{R} \mathbf{T} \tilde{\mathbf{p}} = \mathbf{A} \tilde{\mathbf{p}}$$

Don't negate the 1...

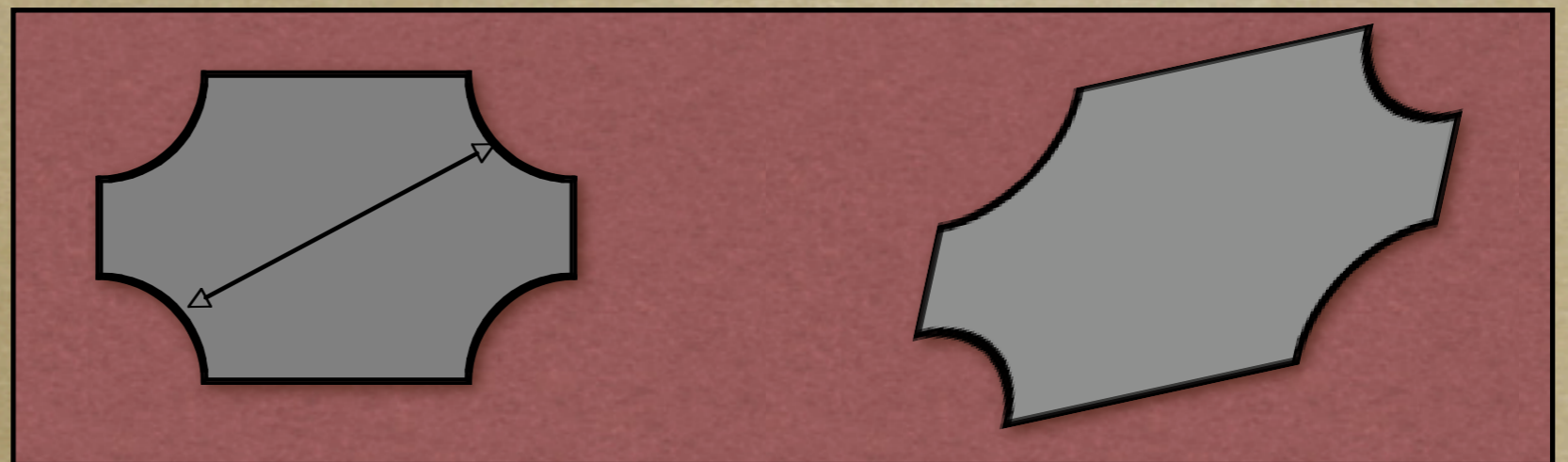
# Scale About Arb. Axis

---

- Diagonal matrices scale about coordinate axes only:



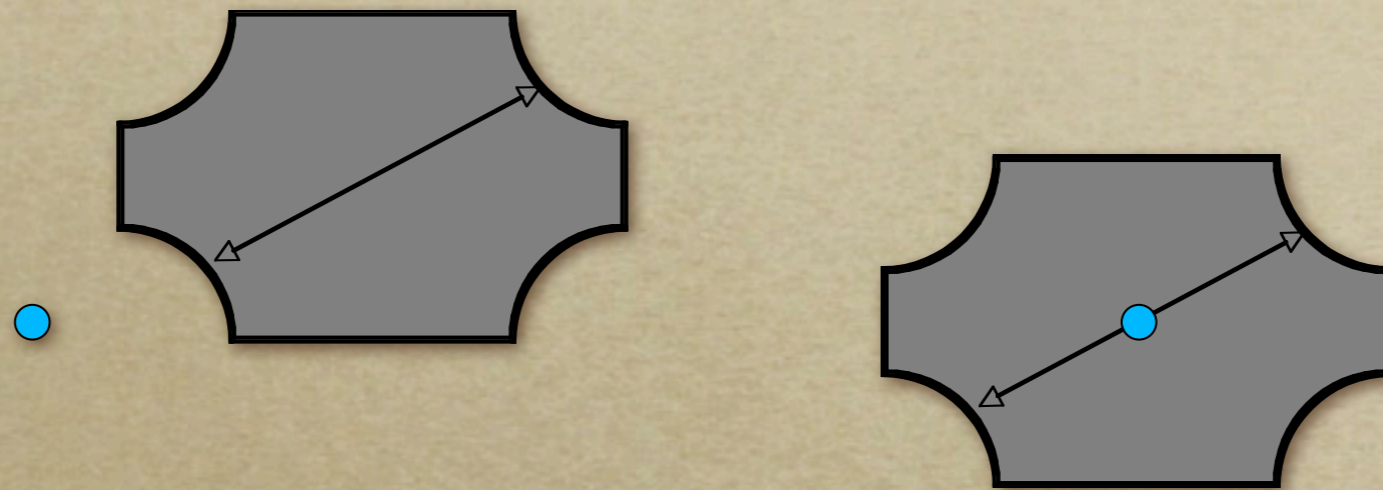
Not axis-aligned



# Scale About Arb. Axis

---

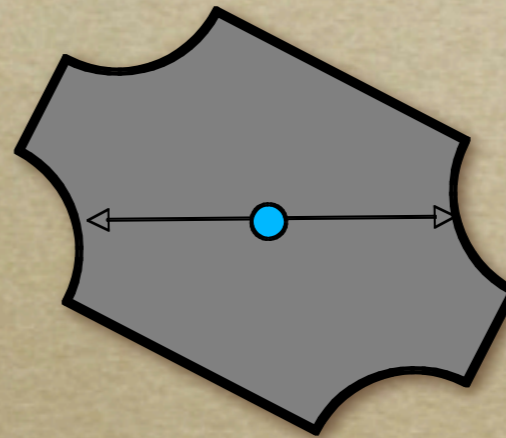
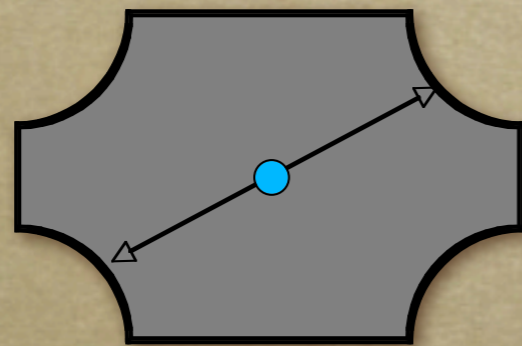
- Step 1: Translate axis to origin



# Scale About Arb. Axis

---

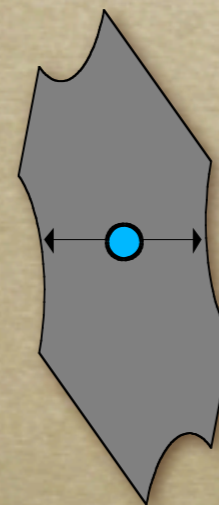
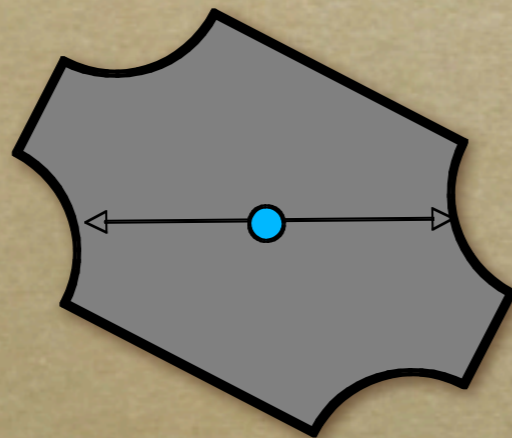
- Step 1: Translate axis to origin
- Step 2: Rotate axis to align with one of the coordinate axes



# Scale About Arb. Axis

---

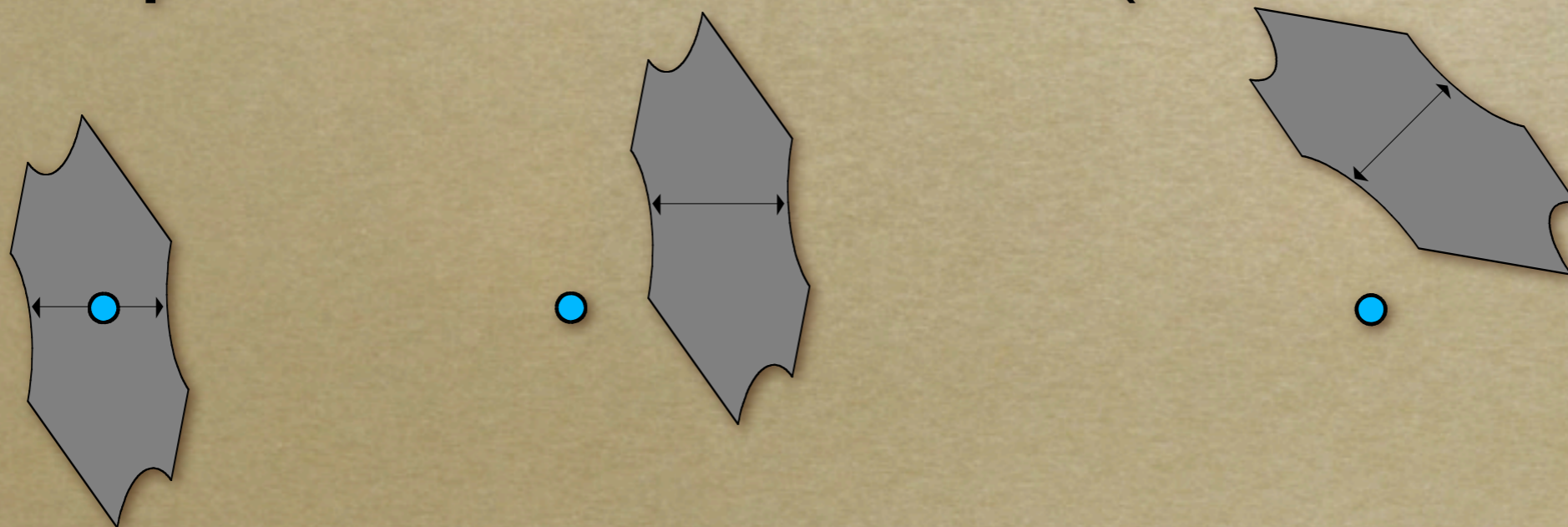
- Step 1: Translate axis to origin
- Step 2: Rotate axis to align with one of the coordinate axes
- Step 3: Scale as desired



# Scale About Arb. Axis

---

- Step 1: Translate axis to origin
- Step 2: Rotate axis to align with one of the coordinate axes
- Step 3: Scale as desired
- Steps 4&5: Undo 2 and 1 (reverse order)





# Order Matters!

---

- The order that matrices appear in matters

$$\mathbf{A} \cdot \mathbf{B} \neq \mathbf{BA}$$

- Some special cases work, but they are special
- But matrices are associative

$$(\mathbf{A} \cdot \mathbf{B}) \cdot \mathbf{C} = \mathbf{A} \cdot (\mathbf{B} \cdot \mathbf{C})$$

- Think about efficiency when you have many points to transform...

# Matrix Inverses

---

- In general:  $\mathbf{A}^{-1}$  undoes effect of  $\mathbf{A}$
- Special cases:
  - Translation: negate  $t_x$  and  $t_y$
  - Rotation: transpose
  - Scale: invert diagonal (axis-aligned scales)
- Others:
  - Invert matrix
  - Invert SVD matrices

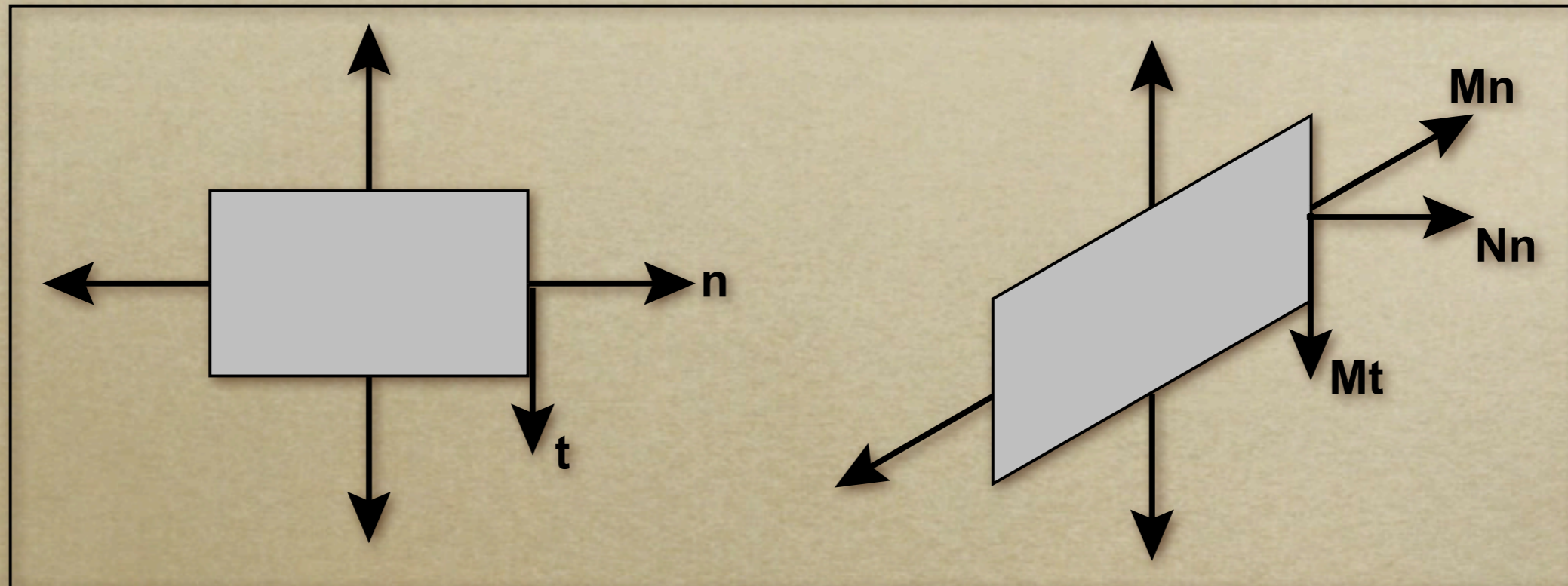
# Point Vectors / Direction Vectors

---

- Points in space have a 1 for the “ $w$ ” coordinate
- What should we have for  $\mathbf{a} - \mathbf{b}$  ?
  - $w = 0$
  - Directions not the same as positions
  - Difference of positions is a direction
  - Position + direction is a position
  - Direction + direction is a direction
  - Position + position is nonsense

# Somethings Require Care

---



For example normals do not transform normally

$$\mathbf{M}(\mathbf{a} \times \mathbf{b}) \neq (\mathbf{M}\mathbf{a}) \times (\mathbf{M}\mathbf{b})$$

Use inverse transpose of the matrix for normals.

See text book.

# 3D Transformations

---

- Generally, the extension from 2D to 3D is straightforward
  - Vectors get longer by one
  - Matrices get extra column and row
  - SVD still works the same way
  - Scale, Translation, and Shear all basically the same
- Rotations get interesting

# Translations

---

$$\tilde{\mathbf{A}} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \quad \text{For 2D}$$

$$\tilde{\mathbf{A}} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad \text{For 3D}$$

# Scales

---

$$\tilde{\mathbf{A}} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

For 2D

$$\tilde{\mathbf{A}} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

For 3D

(Axis-aligned!)

# Shears

---

$$\tilde{\mathbf{A}} = \begin{bmatrix} 1 & h_{xy} & 0 \\ h_{yx} & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

For 2D

$$\tilde{\mathbf{A}} = \begin{bmatrix} 1 & h_{xy} & h_{xz} & 0 \\ h_{yx} & 1 & h_{yz} & 0 \\ h_{zx} & h_{zy} & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

For 3D

(Axis-aligned!)



# Shears

---

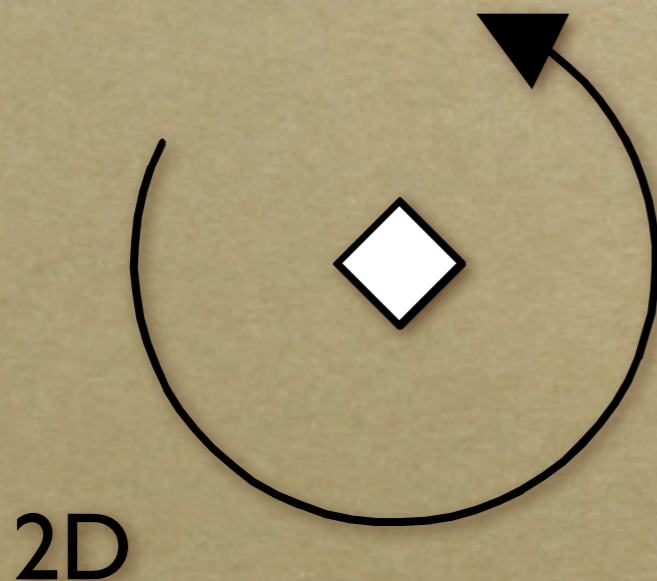
$$\tilde{\mathbf{A}} = \begin{bmatrix} 1 & h_{xy} & h_{xz} & 0 \\ h_{yx} & 1 & h_{yz} & 0 \\ h_{zx} & h_{zy} & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Shears  $y$  into  $x$

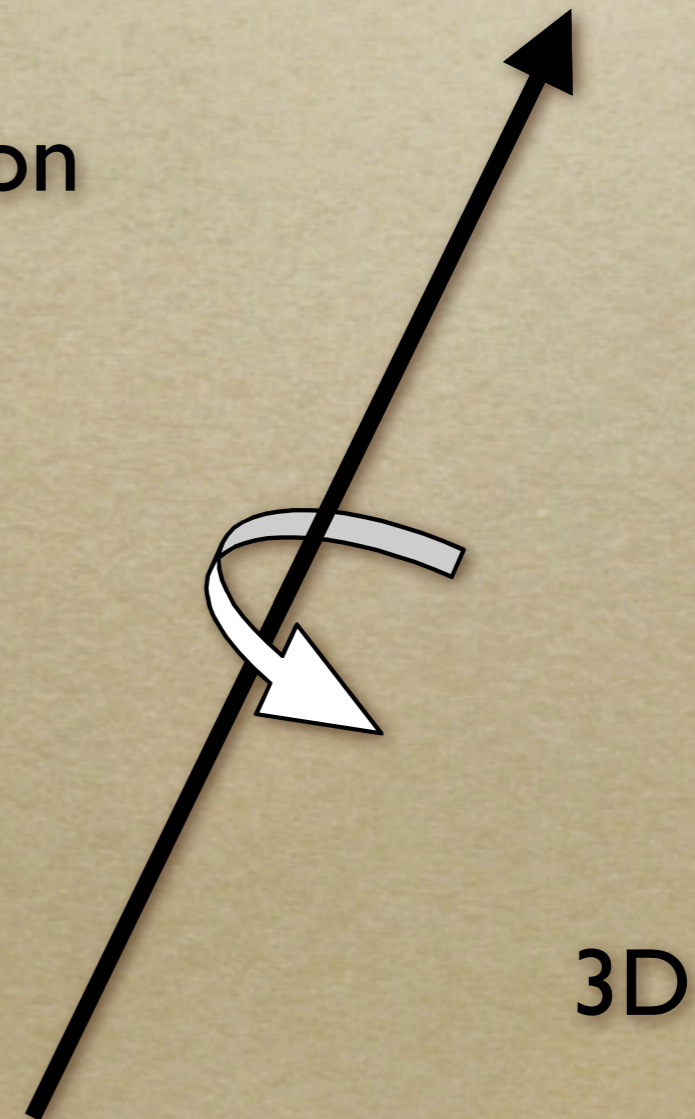
# Rotations

---

- 3D Rotations fundamentally more complex than in 2D
  - 2D: amount of rotation
  - 3D: amount and axis of rotation



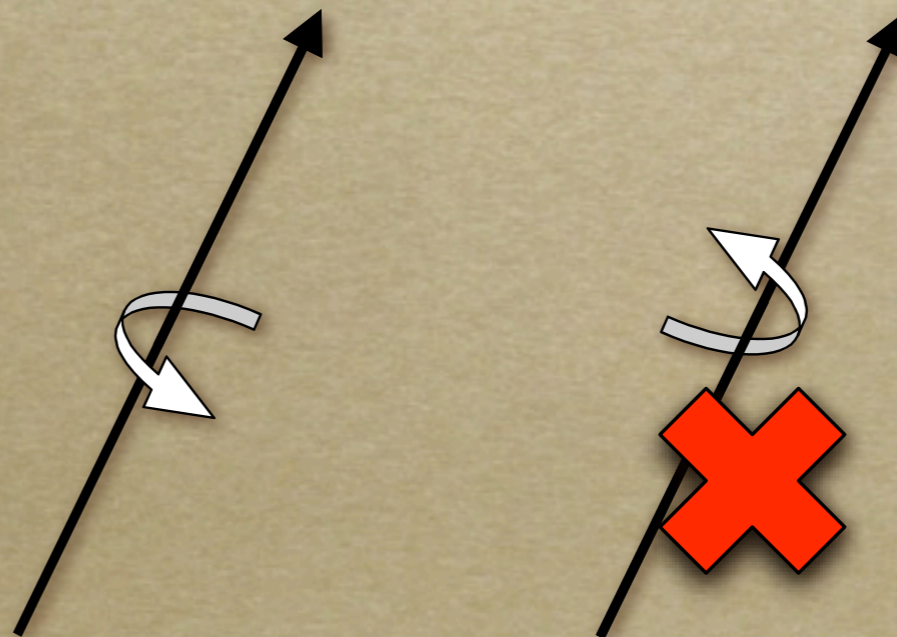
-VS-



# Rotations

---

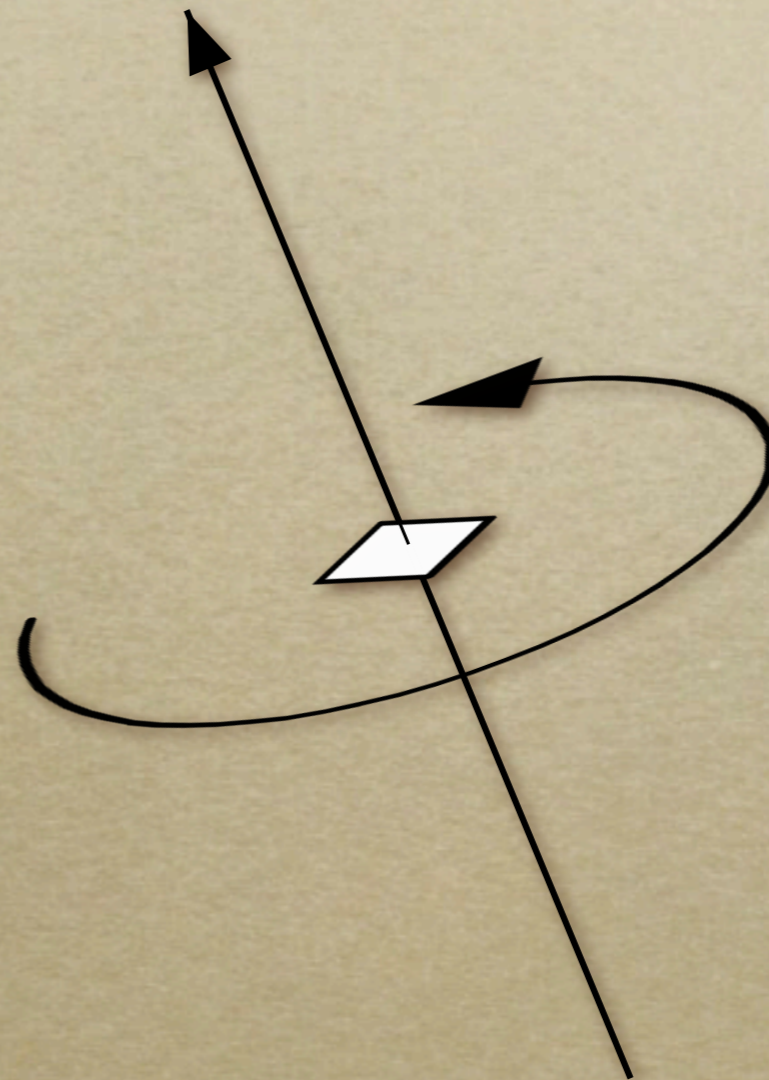
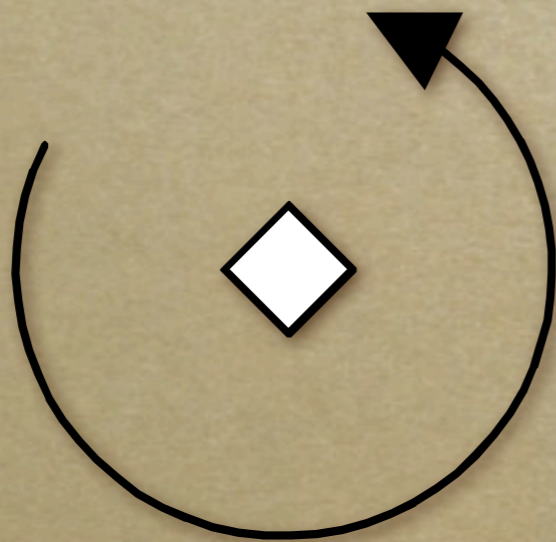
- Rotations still orthonormal
- $\text{Det}(\mathbf{R}) = 1 \neq -1$
- Preserve lengths and distance to origin
- 3D rotations **DO NOT COMMUTE!**
- Right-hand rule
- Unique matrices



# Axis-aligned 3D Rotations

---

- 2D rotations implicitly rotate about a third out of plane axis



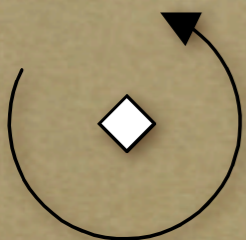
# Axis-aligned 3D Rotations

---

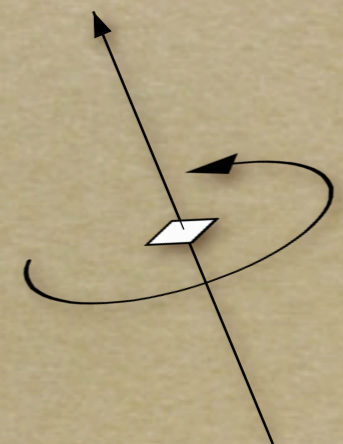
- 2D rotations implicitly rotate about a third out of plane axis

$$\mathbf{R} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{bmatrix}$$

$$\mathbf{R} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



Note: looks same as  $\tilde{\mathbf{R}}$



# Axis-aligned 3D Rotations

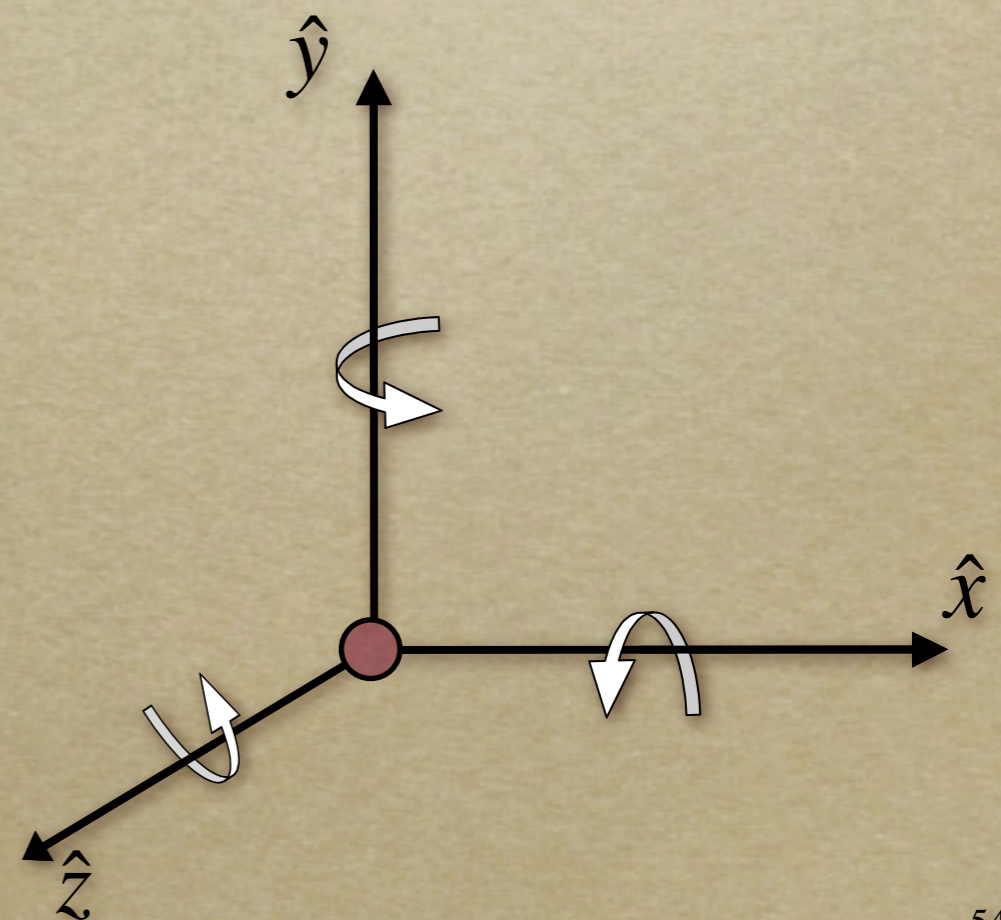
---

$$\mathbf{R}_{\hat{x}} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) \\ 0 & \sin(\theta) & \cos(\theta) \end{bmatrix}$$

$$\mathbf{R}_{\hat{y}} = \begin{bmatrix} \cos(\theta) & 0 & \sin(\theta) \\ 0 & 1 & 0 \\ -\sin(\theta) & 0 & \cos(\theta) \end{bmatrix}$$

$$\mathbf{R}_{\hat{z}} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

“Z is in your face”



# Axis-aligned 3D Rotations

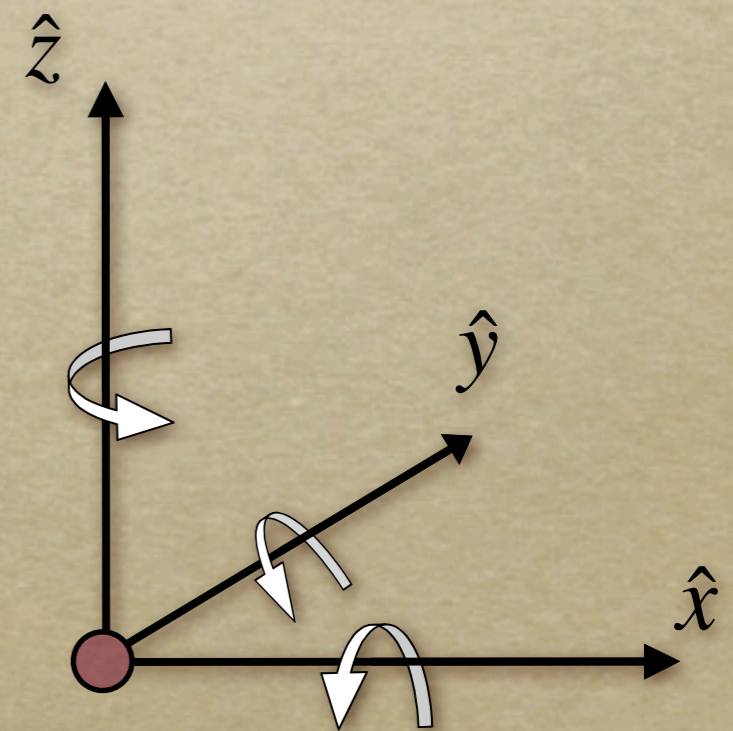
---

$$\mathbf{R}_{\hat{x}} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) \\ 0 & \sin(\theta) & \cos(\theta) \end{bmatrix}$$

$$\mathbf{R}_{\hat{y}} = \begin{bmatrix} \cos(\theta) & 0 & \sin(\theta) \\ 0 & 1 & 0 \\ -\sin(\theta) & 0 & \cos(\theta) \end{bmatrix}$$

$$\mathbf{R}_{\hat{z}} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Also right handed “Zup”



# Axis-aligned 3D Rotations

---

- Also known as “direction-cosine” matrices

$$\mathbf{R}_{\hat{x}} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\theta) & -\sin(\theta) \\ 0 & \sin(\theta) & \cos(\theta) \end{bmatrix} \quad \mathbf{R}_{\hat{y}} = \begin{bmatrix} \cos(\theta) & 0 & \sin(\theta) \\ 0 & 1 & 0 \\ -\sin(\theta) & 0 & \cos(\theta) \end{bmatrix}$$

$$\mathbf{R}_{\hat{z}} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



# Arbitrary Rotations

---

- Can be built from axis-aligned matrices:

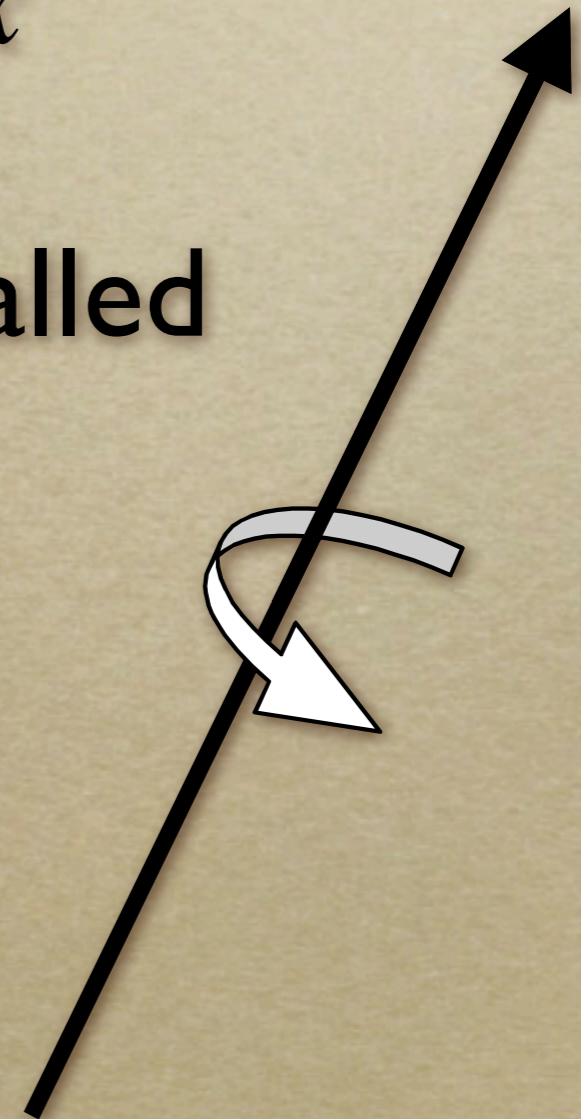
$$\mathbf{R} = \mathbf{R}_{\hat{z}} \cdot \mathbf{R}_{\hat{y}} \cdot \mathbf{R}_{\hat{x}}$$

- Result due to Euler... hence called Euler Angles

- Easy to store in vector

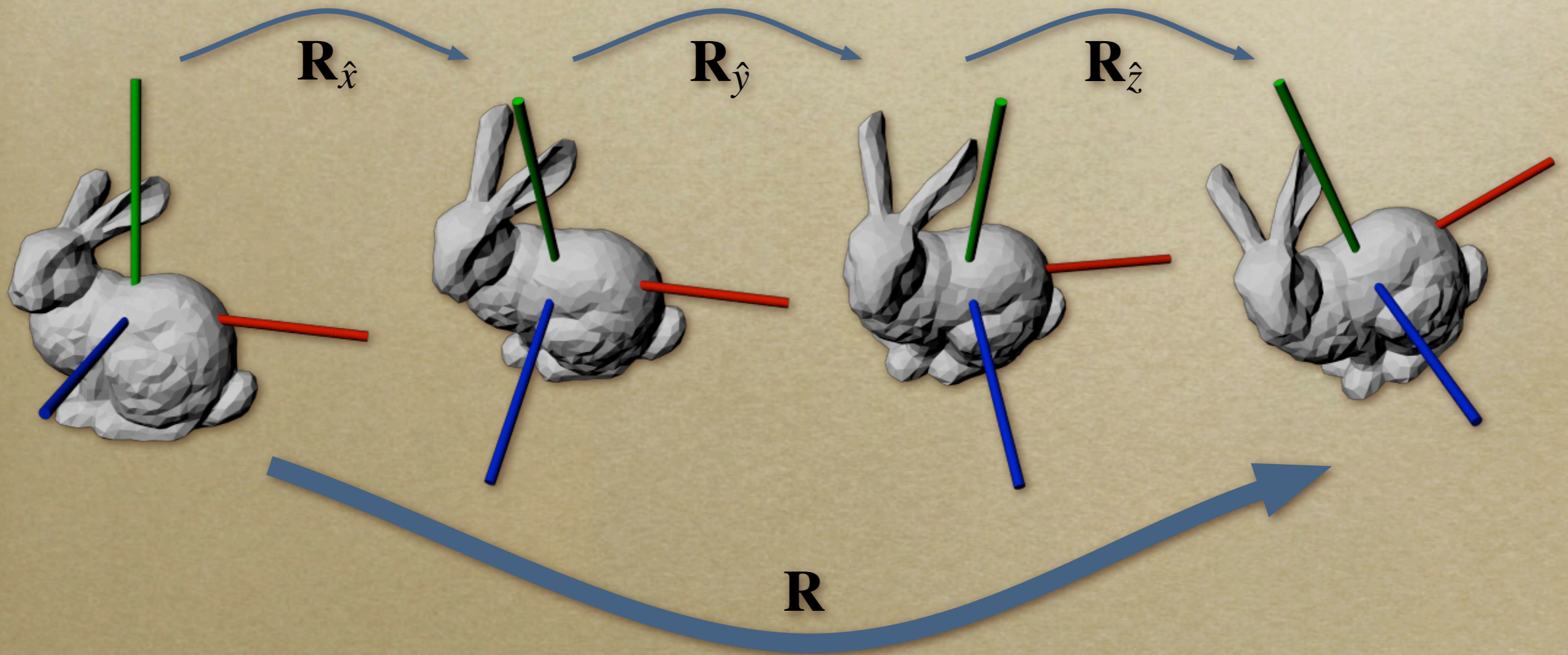
$$\mathbf{R} = \text{rot}(x, y, z)$$

- But NOT a vector.



# Arbitrary Rotations

$$\mathbf{R} = \mathbf{R}_{\hat{z}} \cdot \mathbf{R}_{\hat{y}} \cdot \mathbf{R}_{\hat{x}}$$



# Arbitrary Rotations

---

- Allows tumbling
- Euler angles are non-unique
- Gimbal-lock
- Moving -vs- fixed axes
  - Reverse of each other

# Exponential Maps

---

- Direct representation of arbitrary rotation
- AKA: axis-angle, angular displacement vector
- Rotate  $\theta$  degrees about some axis
- Encode  $\theta$  by length of vector

$$\theta = |\mathbf{r}|$$



# Quaternions

---

- Due to Hamilton (1843)
  - Interesting history
  - Involves “hermaphroditic monsters”

# Quaternions

---

- Uber-Complex Numbers

$$q = (z_1, z_2, z_3, s) = (\mathbf{z}, s)$$

$$q = iz_1 + jz_2 + kz_3 + s$$

$$i^2 = j^2 = k^2 = -1$$

$$ij = k \quad ji = -k$$

$$jk = i \quad kj = -i$$

$$ki = j \quad ik = -j$$

# Quaternions

---

- Multiplication natural consequence of defn.

$$q \cdot p = (\mathbf{z}_q s_p + \mathbf{z}_p s_q + \mathbf{z}_p \times \mathbf{z}_q, s_p s_q - \mathbf{z}_p \cdot \mathbf{z}_q)$$

- Conjugate

$$q^* = (-\mathbf{z}, s)$$

- Magnitude

$$\|q\|^2 = \mathbf{z} \cdot \mathbf{z} + s^2 = q \cdot q^*$$

# Quaternions

---

- Vectors as quaternions

$$v = (\mathbf{v}, 0)$$

- Rotations as quaternions

$$r = \left( \hat{\mathbf{r}} \sin \frac{\theta}{2}, \cos \frac{\theta}{2} \right)$$

- Rotating a vector

$$x' = r \cdot x \cdot r^*$$

- Composing rotations

$$r = r_1 \cdot r_2$$



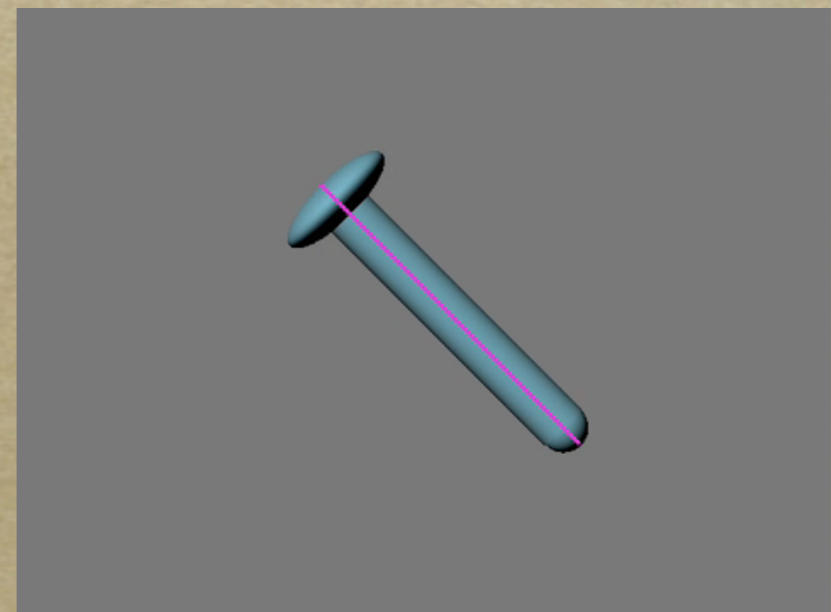
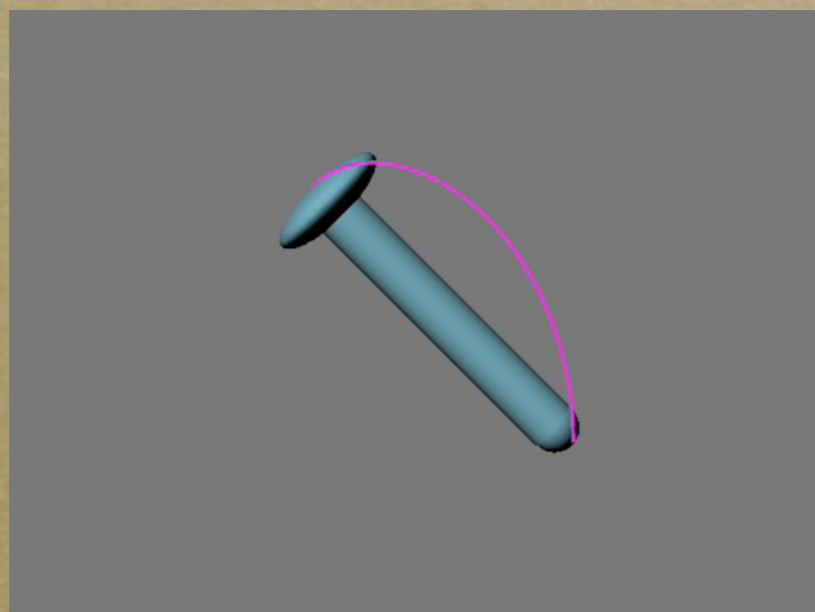
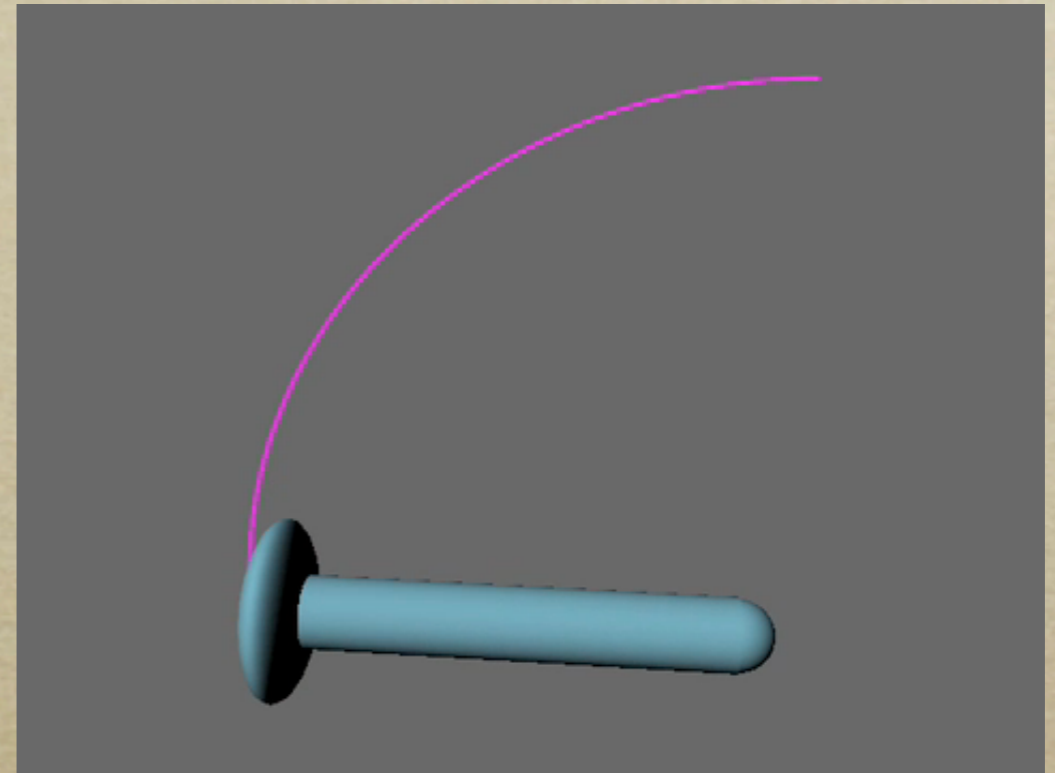
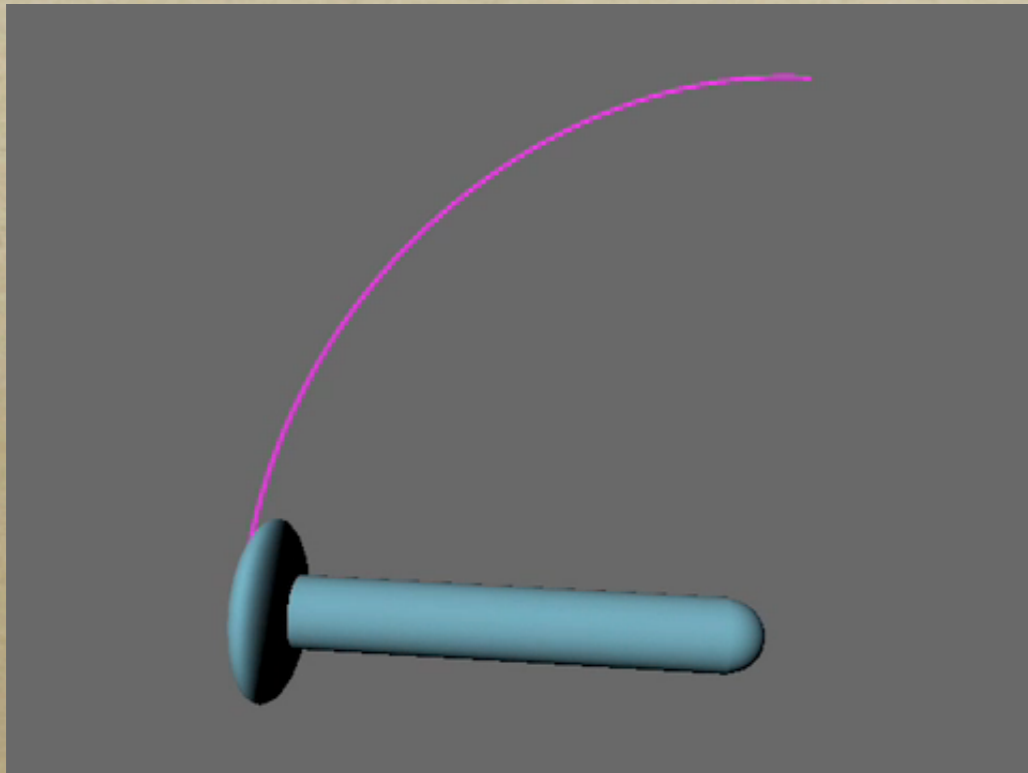
# Quaternions

---

- No tumbling
- No gimbal-lock
- Orientations are “double unique”
- Surface of a 3-sphere in 4D  $||r|| = 1$
- Nice for interpolation

# Interpolation

---



# Rotation Matrices

---

- Eigen system
  - One real eigenvalue
  - Real axis is axis of rotation
  - Imaginary values are 2D rotation as complex number

# Rotation Matrices

---

- Consider:

$$\mathbf{RI} = \begin{bmatrix} r_{xx} & r_{xy} & r_{xz} \\ r_{yx} & r_{yy} & r_{yz} \\ r_{zx} & r_{zy} & r_{zz} \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- Columns are coordinate axes after transformation (true for general matrices)
- Rows are original axes in original system (not true for general matrices)